

- 1 -

THERAPEUTIC LIPOSOME-ENCAPSULATED IMMUNOMODULATORS

BACKGROUND OF THE INVENTION

Technical Field of the Invention

5 The present invention in the field of medicine and molecular biology relates to the use of novel compositions of immunomodulators as liposome encapsulated or free-form for treating neoplasia and in reducing chemotherapeutically induced cellular pathology, including mucositis.

Background Art

10 The immunomodulating properties of synthetic macrophage activators, such as muramyl tripeptide phosphatidylethanolamine (MTP-PE) or lipopeptides (CGP 31362) encapsulated into multilamellar liposomes (MLV) have been reported. Studies from several laboratories have demonstrated that systemic tumoricidal activation of macrophages by either intravenous or oral administration enhanced host defenses against
15 infections and cancer, including the eradication of metastatic disease in murine tumor models (Fidler, I.J. *et al.*, *Proc Natl Acad Sci, USA* (1981) 78:1680-1684; Fidler, I.J., *Cancer Immunol and Immunother* (1986) 21:169-173; Dinney, C.P.N. *et al.*, *Cancer Res* (1991) 51:3741-3747; Dinney, C.P.N. *et al.*, *Cancer Res* (1992) 52:1155-1161) and canine osteosarcoma (MacEwen, E.G. *et al.*, *J Natl Canc Inst* (1989) 81:935-938).
20 MTP-PE was rigorously investigated in Phase I and II clinical trials (Murray, J.L. *et al.*, *J Clin Oncol* (1989) 7:1915-1925; Kleinerman, E.S. *et al.*, *Cancer Res* (1989) 49:4665-4670) which showed that systemic administration of MLV-MTP-PE caused localization of the MLV to the liver, lungs, lymph nodes and spleens of cancer patients. These studies were extended to further clinical evaluation in recurrent osteosarcoma (Kleinerman, E.S.
25 *et al.*, *J Clin Oncol* (1992) 10:1310-1316; Kleinerman, E.S. *et al.*, *Canc Immunol and Immunother* (1992) 34:211-220).

Applicant has reported that systemic administration of MTP-PE can be combined with myelosuppressive therapy, such as doxorubicin (DXR), cisplatin, irradiation and

- 2 -

ifosfamide, with no additional toxicity (Killion, J.J. *et al.*, *Oncol Res* (1992) 4:413-418); indeed, administration of either free-form MTP-PE or liposome-encapsulated MTP-PE prevented the monocytopenia normally associated with these treatment modalities (Killion, J.J. *et al.*, *Oncol Res* (1994) 6:357-364). These findings on the restorative
5 properties of macrophage activators motivated experiments designed to maintain the structural integrity of intestinal epithelium and the protection of mucosal leukocytes during chemotherapy of mice given oral feedings of MTP-PE (Killion, J.J. *et al.*, *Canc Biother and Radiopharmaceut* (1996) 11:363-371).

The cellular and molecular basis of these biological effects differs between
10 MTP-PE and MLV-CGP 31362, in part because of the interaction of different signaling pathways toward cellular activation (Fidler, I.J. *et al.*, *Lymphokine Res* (1990) 9:449-463; Utsugi, T. *et al.*, *Canc Immunol Immunother* (1991) 33:285-292; Dong, Z. *et al.*, *J Leukocyte Biol* (1993) 53:53-60; Dong, Z. *et al.*, *J Exper Med* (1993) 177:1071-1077). Lymphocyte populations are also involved in mediating the antitumor (and probably
15 tissue-protecting) effects of these immunomodulators (Killion, J.J. *et al.*, *Canc Biother and Radiopharmaceut* (1996) 11:363-371; Utsugi, T. *et al.*, *Canc Immunol and Immunother* (1991) 33:375-381). Activation of macrophages can result in the synthesis and release of numerous cytokines with a myriad of local and systemic effects (Nathan, C.F. *J Clin Invest* (1987) 79:319-326).

20 Advances in the therapeutic properties of macrophage-mediated immunomodulation can be obtained by the design of new activating molecules that have defined properties. These compounds include salts of aminosulfonic acid derivatives (Baschang *et al.*; *Aminosulfonic acid derivatives and processes for their preparation*, U.S. Patent No. 5,342,977; issued August 30, 1994; which is hereby incorporated by reference
25 in its entirety herein). Applicant has conducted a series of preliminary studies using one of these compounds designated JBT3002, designed to characterize the cellular parameters of tumoricidal activation. In addition, Applicant has recognized the potential use of this

- 3 -

lipopeptide in the prevention of gut tissue damage due to chemotherapy as well as its use with chemotherapy in therapy of metastatic colon carcinoma. The pluripotential use of muramyl tripeptide analogues (reviewed in Killion, J.J. *et al.*, *Immunomethods* (1994) 4:273-279) are compounds that warrant evaluation as therapeutic candidates for study in new clinical applications.

Disclosure of the Invention

The present invention is drawn to the use of compositions comprising isolated Ψ -amino-C1-C3AL-kanesulfonic acid lipopeptides represented by the general formula (Figure 1) and more specifically directed to N-acylated derivatives of Ψ -amino-C1-C3AL-kanesulfonic acid. (Figure 2) One derivative, JBT3002, is a synthetic analogue of a fragment of lipopeptide from the outer wall of Gram negative bacteria. This highly lipophilic molecule is soluble in chloroform and thus can be inserted into the bilayer membranes of phospholipid liposomes. Herein JBT3002 is shown to be a potent activator of cytokine production and tumoricidal properties in human blood monocytes and agent that stimulates several intracellular signaling pathways in human monocytes that are also activated by LPS, i.e., induction of tyrosine phosphorylation of proteins with apparent mass of 38- and 42-kDa, activation of c-Jun NH₂-terminal kinase 1 (JNK1), and activation of extracellular signaling-regulated kinases (Erks). In contrast to LPS, activation of monocytes by JBT3002 is not dependent on serum and is not mediated by binding to CD14. Other lipopeptides contemplated for use in the claimed invention include but are not limited to MTP-PE and CGP31362.

This invention is further drawn to pharmaceutical compositions of lipopeptides comprising Ψ -amino-C1-C3AL-kanesulfonic acid derivatives and methods of their use for the treatment of neoplasia in subjects. Such pharmaceutical compositions comprise a therapeutically effective amount of the lipopeptide and a pharmaceutically acceptable carrier. Such pharmaceutical compositions may further include the insertion of the

- 4 -

lipopeptide directly into bilayer membranes of phospholipid multilamellar vesicles (MLV) liposomes. The lipopeptide not inserted into MLV liposomes is considered to exist in free-form.

5 Additionally, pharmaceutical compositions may include in a pharmaceutically acceptable carrier the lipopeptide as a single active agent or in combination with a therapeutically effective amount of a second anti-neoplastic agent. One embodiment contemplates that the pharmaceutical composition of a lipopeptide, further comprising a pharmaceutically acceptable carrier in tablet form. A preferred embodiment contemplates that the lipopeptide has the structure or formula as represented in Figure 2. A still further
10 preferred embodiment of this invention contemplates that the lipopeptide represented in Figure 2 is JBT3002.

Another embodiment of the present invention provides for a method of upregulating IL-15 production by administering to a subject a pharmaceutical composition that comprises an isolated lipopeptide comprising the formula represented in
15 Figure 1. A preferred embodiment contemplates that this lipopeptide has the structure or formula as represented in Figure 2. A still further preferred embodiment of this invention contemplates that the lipopeptide represented in Figure 2 is JBT3002.

A still further embodiment of this invention contemplates a method of treating a subject being treated with a neoplastic agent or therapeutic in an amount sufficient to
20 cause a side effect, which method comprises administering to said subject a pharmaceutical composition that in a therapeutically effective concentration upregulates IL-15 production. A preferred embodiment contemplates that this lipopeptide has the structure or formula as represented in Figure 2. A further preferred embodiment of this invention contemplates that the lipopeptide represented in Figure 2 is JBT3002.

25 This invention also relates to a method of treating neoplasia by administering to a subject with neoplasia by a clinically acceptable route of delivery a therapeutically effective amount of the pharmaceutical composition comprising the lipopeptide and a

- 5 -

pharmaceutically acceptable carrier. Another method of treating neoplasia contemplated by this invention relates to administering to a subject with neoplasia by a clinically acceptable route of delivery a therapeutically effective amount of the pharmaceutical composition comprising a first anti-neoplastic agent comprising a therapeutically effective amount of the lipopeptide in a multilamellar liposome or free-form; a therapeutically effective amount of a second anti-neoplastic agent; and a pharmaceutically acceptable carrier.

Drugs that are useful as a second anti-neoplastic agent in combination with the lipopeptide, include without limiting the present invention: CPT-11; other topoisomerase I inhibitors; paclitaxel (Taxol® brand) (Bristol-Myers Squibb); taxotere; modified taxane analogs; cisplatin; doxorubicin (Adriamycin); and ifosfamide.

Another aspect of the present invention relates to pharmaceutical compositions and methods of use of the lipopeptide immunomodulator, in a liposome encapsulated form or free-form, presented in combination with one or more cytokines in a pharmaceutically acceptable carrier. Cytokines contemplated by the present invention, include, for example: tumor necrosis factor alpha (TNF- α); interleukin-1-beta (IL-1 β); interleukin-6 (IL-6); granulocyte colony stimulating factor (G-CSF); granulocyte macrophage colony stimulating factor (GM-CSF).

A further contemplation of the present invention relates to pharmaceutical compositions and methods of use for the treatment of a side effect resulting from the treatment of a subject with neoplasia, which method of use comprises: a therapeutically effective amount of the lipopeptide in a multilamellar liposome or free-form and a pharmaceutically acceptable carrier. This invention also relates to a method of treating a subject being treated with a neoplastic agent or therapeutic in an amount sufficient to cause a side effect, which method comprises administering to said subject a pharmaceutical composition comprising the lipopeptide in a multilamellar liposome or free-form and a pharmaceutically acceptable carrier, wherein the amount of the

- 6 -

pharmaceutical composition is effective to alleviate or prevent said side effect. The side effects to a subject resulting from therapy with an anti-neoplastic agent include, but are not limited to: myelosuppression, mucositis, and peripheral neuropathy, where the method comprises administering to said subject, in an amount effective to alleviate or prevent
5 said side effect, the pharmaceutical composition containing the lipopeptide in a multilamellar liposome or free-form and a pharmaceutically acceptable carrier.

Brief Description of the Drawings

Figure 1 shows the structural formula of Ψ -amino-C1-C3AL-kanesulfonic acid
10 lipopeptides.

Figure 2 shows the structural formula of JBT3002.

Figure 3 shows the binding and phagocytosis of multilamellar liposomes by mouse macrophages. PC or PC/PS liposomes (7:3 molar ratio) containing HBSS (control) or JBT3002 (0.1 mg/300 μ M phospholipid) were incubated with adherent mouse
15 macrophages for the indicated times at 37°C in medium containing 10 U/ml IFN- γ . The values are the mean \pm SD (Standard Deviation) of triplicate samples. This is one representative experiment of three.

Figure 4 shows the time course of macrophage activation by liposomes-JBT3002. Macrophages (1×10^5 /well) were incubated for the indicated times with 50 nmol of
20 liposomes containing 0.1 mg JBT3002/300 μ M phospholipid. NO (nitrite) was determined at the indicated times. Cytotoxicity of K-1735 M2 cells was determined 72 h after coincubation with the macrophages. The values are the mean \pm SD of triplicate cultures. This is one representative experiment of three.

Figure 5 shows kinetics of protein-tyrosine phosphorylation induced by MLV-
25 JBT3002. (A) Macrophages were incubated in medium without IFN- γ (control) or with medium containing 50 nmol MLV containing 0.1 mg JBT3002/300 μ M phospholipids for the indicated times. The cells were washed and lysed in lysis buffer. Whole cell lysates

- 7 -

(20 µg/lane) were separated by SDS-PAGE, transferred to nitrocellulose, and probed with antiphosphotyrosine monoclonal antibody 4G10 (0.2 µg/ml). The immunoreactive bands were detected by incubating the blots with horseradish peroxidase conjugated F(ab')₂ of goat antimouse immunoglobulin G (1:2000) and developed by an ECL system. (B)

- 5 Macrophages were pretreated for 20 h with medium containing 10U/ml IFN-γ before LPS (1 µg/ml) or liposome-JBT3002 (50 nmol/well) were added for the indicated times. Western blot analysis was accomplished as described above.

Figure 6 shows inhibition of macrophage activation by specific PTK inhibitors. Murine macrophages (1×10^5) were incubated for 20 h in medium containing 25
10 nmol/38-mm² well of liposome-JBT3002 (0.1 mg/300 µM phospholipid) in the presence of genistein (A,B) or tyrphostin (C,D). The cultures were thoroughly washed and 1×10^4 [³H]TdR-labeled cells were added. NO production (○) was determined one day later and cytotoxicity (●) was determined 3 days later. The data are mean ± SD of triplicate cultures. This is one representative experiment of three.

- 8 -

Figure 7 shows production of cytokines by MLV-JT3002-activated macrophages. PEM ($1 \times 10^5/38\text{-mm}^2$ well) were incubated for 24 hours with different concentrations of MLV-JT3002 (0.1 mg/300 μmol lipid) in the absence (\square) or presence (\boxplus) of 10 U/ml IFN- γ . The culture supernatants were assayed for nitrite content (A) using Griess reagent and for TNF- α (B) and IL-6 (D) by ELISA. IL-1 α (C) was measured by ELISA of macrophage lysates. The data are the mean \pm SD of duplicate cultures from one representative experiment of three. *P<0.01 and #P<0.05, compared with untreated macrophages.

Figure 8 shows kinetics of cytokine production by MLV-JT3002-activated macrophages. PEM ($1 \times 10^5/38\text{-mm}^2$ well) were incubated for different times with 50 nmol/well of MLV-JT3002 (0.1 mg/300 μmol lipid). Culture supernatants were assayed for nitrite content (A) using Griess reagent and for TNF- α (B) and IL-6 (D) by ELISA. IL-1 α (C) was measured by ELISA of macrophage lysates. The data are the mean \pm SD of duplicate cultures from one representative experiment of three. *P<0.01 and #P<0.05, compared with untreated macrophages.

Figure 9 shows northern blot analysis of cytokine mRNA induction of JT3002. PEM ($5 \times 10^7/150$ mm plates) were incubated for 4 hours in medium alone (lane 1), 10 U/ml IFN- γ (lanes 2, 4, 6, and 7), 100 ng/ml LPS (lanes 3 and 4), 5 μmol MLV-JT3002 (lanes 5 and 6), or 5 $\mu\text{mol/ml}$ MLV-HBSS (lane 7). mRNA was extracted and analyzed by northern blotting using corresponding specific probes.

Figure 10 shows activation of PEM by JT3002 is serum-independent. PEM ($1 \times 10^5/38\text{-mm}^2$ well) were incubated for 24 hours with LPS (100 $\mu\text{g/ml}$) or MLV-JT3002 (50 nmol/well, 0.1 mg/300 μmol lipid) with or without IFN- γ (10 U/ml) in serum-free EMEM or EMEM supplemented with 5% FBS. The culture supernatants were assayed for nitrite (A), TNF- α (B), and IL-6 (D), and the macrophage lysates were assayed for IL-1 α (C). The data are the mean \pm SD of duplicate cultures from one representative experiment of three. *P<0.01, compared with untreated macrophages.

- 9 -

Figure 11 shows effects of protein kinase inhibitors on PEM activation by LPS or JT3002. PEM ($1 \times 10^5/38\text{-mm}^2$ well) were pretreated with genistein (100 μM), PD-98059 (10 μM), calphostin-C (250 nM), or H-89 (2.5 μM). After 20 minutes, LPS (100 ng/ml) or MLV-JT3002 (50 nmol/well of 0.1 mg/300 μmol lipid) were added together with
5 IFN- γ (10 U/ml). The culture supernatants were assayed for nitrite (A) and TNF- α (B). The data are the mean \pm SD of duplicate cultures from one representative experiment of two. * $P < 0.01$ and # $P < 0.05$, compared with control PEM.

Figure 12 shows activation of monocyte-mediated tumor cytotoxicity by MLV-JT3002. Monocytes ($1 \times 10^5/38\text{-mm}^2$ well) in 96-well plates were treated for 20 h
10 with various concentrations of MLV-JT3002 prepared by encapsulating different amounts of JT3002 in 300 μM phospholipids. The medium did or did not contain 10 U/ml IFN- γ . Monocytes cultured in medium, LPS (100 ng/ml), or LPS (0.1 $\mu\text{g}/\text{ml}$) plus IFN- γ (10 U/ml) served as negative and positive controls, respectively. The treated monocytes were washed and incubated for 72 h with [^3H]TdR-labeled A375SM cells ($10^4/\text{well}$). The data
15 shown are the mean \pm SD of triplicate cultures. This is one representative experiment of three. MLV-JT3002 (\circ); MLV-JT3002 plus IFN- γ (\bullet).

Figure 13 shows induction of cytokine production in monocytes by MLV-JT3002. Monocytes ($1 \times 10^5/38\text{-mm}^2$ well) in 96-well plates were incubated for 24 h with 100 nmol/well of MLV-JT3002 containing various concentrations of MLV-JT3002 ($\mu\text{g}/300$
20 μmol lipids). Cytokines in the culture supernatants were measured by ELISA. The data shown are the mean \pm SD of triplicate cultures. This is one representative experiment of four. MLV-JT3002 (\circ); MLV-JT3002 plus IFN- γ (\bullet).

Figure 14 shows production of TNF- α by monocytes exposed to MLV-JT3002, free-form JT3002, and LPS. (A) Monocytes ($1 \times 10^5/38\text{-mm}^2$ well) in 96-well plates
25 were incubated for the indicated time periods with free-form JT3002 (1 ng/ml) or MLV-JT3002 (100 nmol/well, 1 mg JT3002/300 μmol lipids). TNF- α in the culture supernatants was determined by an ELISA kit. The data shown are the mean \pm SD of

- 10 -

triplicate cultures from one representative experiment of three. JT3002 (○); MLV-JT3002 (●). (B) Monocytes were incubated for 24 h with various concentrations of LPS, free-form JT3002, or MLV-JT3002. The level of TNF- α in the culture supernatants was determined using an ELISA kit. The data are the mean \pm SD of triplicate cultures.

5 This is one representative experiment of three. JT3002 (●); MLV-JT3002 (■); LPS (▲).

Figure 15 shows serum-dependency for stimulation of cytokine production in monocytes exposed to LPS or MLV-JT3002. Monocytes (1×10^5 /38-mm² well) in 96-well plates were incubated for 24 h with LPS (100 ng/ml) or MLV-JT3002 (100 nmol/well, 1 mg JT3002/300 μ mol lipids) in serum-free EMEM (■) or EMEM
10 containing 5% FBS (□). The cytokines in the culture supernatants were measured using ELISA kits. The data shown are the mean \pm SD of triplicate cultures. This is one representative experiment of three.

Figure 16 shows inhibition of LPS-induced TNF- α production by anti-CD14 antibody. Monocytes (1×10^5 /38-mm² well) in 96-well plates were incubated for 24 h
15 with medium alone (□) or with medium containing LPS (100 ng/ml) (▨) or free-form JT3002 (1 ng/ml) (■) in the absence or presence of 80 μ g/ml 3C10 monoclonal antibody (neat ascites). The level of TNF- α in the culture supernatants was measured using an ELISA kit. The data shown are the mean \pm SD of triplicate cultures. This is one representative experiment of three.

20 Figure 17 shows expression of cytokine mRNA. (A) Monocytes in 100-mm plates were incubated for 3 h in medium only (lane 1) or in medium containing 100 U/ml IFN- γ (lane 2), 100 ng/ml LPS (lane 3), IFN- γ (10 U/ml) plus LPS (0.1 μ g/ml) (lane 4), 100 nmol/well MLV-HBSS (lane 5), IFN- γ (10 U/ml) plus 100 nmol/well MLV-HBSS (lane 6), 100 nmol/well MLV-fJT3002 (1 mg JT3002/300 μ mol lipids) (lane 7), IFN- γ
25 (10 U/ml) plus 100 nmol/well MLV-JT3002 (lane 8), 1 ng/ml free-form JT3002 (lane 9), or IFN- γ (10 U/ml) plus free-form JT3002 (1 ng/ml) (lane 10). Total cellular RNA was extracted and subjected to northern blot analysis as described in the Materials and

- 11 -

Methods using corresponding cDNA probes. (B) Monocytes in 100-mm dishes were incubated for 3 h with medium only (lanes 1 and 4), or medium containing 100 ng/ml LPS (lanes 2 and 5), or 1 ng/ml JT3002 (lanes 3 and 6) in serum-free EMEM (lanes 1-3) or EMEM supplemented with 5% FBS (lanes 4-6). Total cellular RNA was extracted and
5 subjected to northern blot analysis using human TNF- α or rat GAPDH cDNA probes. This is one representative experiment of three.

Figure 18 shows western blot analysis of tyrosine phosphorylation, JNK1 band shift, and MAP kinase activation. Monocytes were incubated for 20 min with different concentrations of LPS or free-form JT3002. The cells were washed and lysed in a lysis
10 buffer. Whole cell lysates (50 μ g/lane) were separated by 10% SDS-PAGE, transferred to nitrocellulose, and probed with anti-phosphotyrosine monoclonal antibody 4G10 (0.2 μ g/ml), anti-JNK1 monoclonal antibody 333.1 (1 μ g/ml), or rabbit anti-activated MAP kinase antibody (0.1 μ g/ml). The immunoreactive bands were detected or incubating the
15 blots with horseradish peroxidase-conjugated goat anti-mouse or anti-rabbit immunoglobulin G (1:2000) and visualized by an ECL system. This is one representative experiment of three.

Figure 19 shows serum-dependent and independent stimulation of intracellular signaling by LPS and JT3002. Monocytes were incubated for 20 min. with medium only (lanes 1 and 4), or in medium containing 100 ng/ml LPS (lanes 2 and 5), or 1 ng/ml
20 free-form JT3002 (lanes 3 and 6) in serum-free medium (lanes 1-3) or in the presence of 5% FBS (lanes 4-6). Whole cell lysates (50 μ g/lane) were analyzed by Western blotting as described in Figure 8. This is one representative experiment of three.

Figure 20 shows histological samples of intestinal villi and lumen demonstrating lack of GI toxicity in mice receiving MTP-PE prior to administration of CPT-11.

25 Figure 21 shows histological samples of intestinal villi and lumen demonstrating lack of GI toxicity in mice receiving JBT3002 prior to administration of CPT-11.

- 12 -

Figure 22 shows in the ileum that administration of CPT-11 alone produces disruption of the intestinal architecture (H&E).

Figure 23 shows the response by macrophages and epithelial cells to JBT 3002 in upregulating IL-15 using the RT-PCR technique.

5

- 13 -

Modes of Carrying Out the Invention

The demonstration that cells of the histiocyte-macrophage series can be activated by a variety of immunomodulatory agents and rendered cytotoxic against tumorigenic cells or virus-infected cells without affecting nontumorigenic or uninfected cells has prompted a search for ways to enhance the *in vivo* activation of monocytes-macrophages. *In vivo* activation of macrophages can occur by two major pathways: interaction with microorganisms and their products, e.g., endotoxins, or interaction with cytokines, e.g., interferon-gamma (IFN- γ), interleukin-1 (IL-1), tumor necrosis factor (TNF), macrophage colony stimulating factor, and monocyte chemotactic and activating factor. Efficient activation of macrophages to the tumoricidal state *in situ* can be accomplished by the encapsulation of hydrophilic or lipophilic immunomodulators within phospholipid liposomes. The systemic administration of multilamellar liposome vesicles (MLV) consisting of phosphatidylcholine (PC) and phosphatidylserine (PS) with encapsulated muramyl dipeptide or muramyl tripeptide phosphatidylethanolamine (MTP-PE) activates blood monocytes, lung macrophages, and liver macrophages. Repeated injections of these liposomes have been shown to enhance host resistance against viral infections, to eradicate established lung and liver metastases in murine tumor systems, to significantly prolong life and cures in dogs with autochthonous spontaneous lung metastases of osteogenic sarcoma, and to increase disease-free survival in children with chemotherapy-resistant osteogenic sarcoma lung metastases.

The interaction of macrophages with different immunomodulators can induce expression of different genes and hence, the production and release of different molecules. We have reported that liposomes containing a lipophilic analogue of Gram-negative bacteria cell wall (CGP31362) are potent activators of mouse macrophages and human blood monocytes. Monocytes incubated with this lipopeptide released interleukin-1 (IL-1), tumor necrosis factor (TNF), and prostaglandin E₂, whereas those

- 14 -

incubated with liposomes containing MTP-PE released only TNF. Moreover, MLV containing CGP31362 produced superior activation of macrophages *in situ* and therapeutic effects on murine cancer metastases as compared to liposomes containing MTP-PE. These data suggested that this lipopeptide could be superior to MTP-PE. A major problem, however, with the use of CGP31362 has been low solubility even in organic solvents. We therefore tested a number of analogues of the lipopeptide with increased solubility to assess their entrapment in phospholipid MLV.

One such analogue, JBT3002, is a synthetic analogue of a fragment of lipopeptide from the outer wall of Gram-negative bacteria. This highly lipophilic molecule is derived from N-hexadecanol-S-[2(R)-3-diodecanoyloxypropyl]-L-cysteinyl-L-alanyl-D-isoglutaminyl-glycyl-taurine sodium salt. (Figure 2) JBT3002 is soluble in chloroform and thus can be inserted directly into the bilayer membranes of phospholipid multilamellar vesicles (MLV) liposomes (in which form it is designated MLV-JBT3002).

We compared the efficiency of MLV-JBT3002 with that of MLV-CGP31362 and MLV-MTP-PE (CGP19835) for activating tumoricidal properties in mouse macrophages and determined the mechanism by which macrophages were rendered tumoricidal. Herein MLV-JBT3002 is shown to be a potent activator of tumoricidal properties in macrophages by mechanisms for tumoricidal activation and tumor cell lysis that differ from those associated with MLV-encapsulated muramyl peptide analogues, which depend on serum proteins and require intracellular signaling pathways.

This invention further envisions the administration of lipopeptides alone to patients or in combination with a these second antineoplastic agent. The administration of such lipopeptides is contemplated as a therapy to alleviate or prevent side effects arising from the treatment with a second antineoplastic agent. The side effects to a subject resulting from therapy with an anti-neoplastic agent include, but are not limited to: myelosuppression, mucositis, and peripheral neuropathy. Such second antineoplastic agents include, but are not limited to: CPT-11; other topoisomerase I inhibitors;

- 15 -

paclitaxel (Taxol® brand) (Bristol-Myers Squibb); taxotere; modified taxane analogs; cisplatin; doxorubicin (Adriamycin); and ifosfamide.

The use of these second antineoplastic agents is well known in the art. For example, U.S. Patent Number 5,496,804, which is hereby incorporated by reference in its entirety herein, discloses various dosing regimens in the treatment of a patient using paclitaxel. Similarly, U.S. Patent Number 5,565,478, which is hereby incorporated by reference in its entirety herein, discloses various dosing regimens in the treatment of a patient using paclitaxel.

The following examples are intended to illustrate but not to limit the invention.

Example 1

MATERIALS AND METHODS

Reagents

Eagle's minimum essential medium (EMEM), Hanks' balanced salt solution (HBSS), and fetal bovine serum (FBS) were purchased from M.A. Bioproducts (Walkersville, MD, U.S.A.). Recombinant mouse IFN- γ (specific activity 1×10^5 U/mg protein) was obtained from Genentech (San Francisco, CA, U.S.A.). Phenol-extracted *Salmonella* lipopolysaccharide (LPS) and N^G-monomethyl-L-arginine (NMA) were purchased from Sigma Chemical (St. Louis, MO, U.S.A.). Genistein and tyrophostin were purchased from ICN Biomedicals (Costa Mesa, CA, U.S.A.). The specific antiphosphotyrosine monoclonal antibody was purchased from UBI (Lake Placid, NY, U.S.A.), and JBT3002 was obtained from Jenner Technologies (San Raphael, CA, U.S.A.). PC and PS were the gift of Novartis (Basel, Switzerland). All reagents, except for LPS, were free of endotoxin as determined by the *Limulus* Amoebocyte assay (detection limit 0.125 ng/ml) acquired from Associates of Cape Cod, Inc. (Walpole, MA, U.S.A.).

- 16 -

Animals

Specific pathogen-free, female C57BL/6 and 129/SVJ mice were purchased from Jackson Laboratory (Bar Harbor, ME, U.S.A.). Female C3H/HeN (LPS-responsive) and
5 C3H/HeJ (LPS-nonresponsive) mice were purchased from the Animal Production Area, Frederick Cancer Research Facility (Frederick, MD, U.S.A.). iNOS gene knockout mice on the 129/SV background were the gift of Dr. Carl Nathan (Cornell University, New York, NY, U.S.A.) (19). Animals were maintained according to institutional guidelines in facilities approved by the American Association for Accreditation of Laboratory
10 Animal Care and in accordance with current United States Department of Agriculture, Department of Health and Human Services, and the National Institutes of Health regulations and standards.

Tumor Cells and Culture Conditions

15 K-1735 M2 melanoma cells syngeneic to C3H/HeN mice (Talmadge JE, *et al. Nature* (1982) 27:593-4), CT-26 colon carcinoma cells syngeneic to BALB/c mice (Dong Z, Radinsky R, Fan D, *et al. J Natl Cancer Inst* (1994) 86:913-20), and human A375-P melanoma cells (Kozłowski JM, *et al. J Natl Cancer Inst* (1984) 72:913-7) were used as target cells for in vitro mediated macrophage cytotoxicity assays. The K-1735 M2,
20 CT-26, and A375-P cells were incubated in EMEM supplemented with sodium pyruvate, nonessential amino acids, 2 mM L-glutamine, and vitamin solution. For K-1735 M2 and CT-26 cells, the medium also contained 5% FBS, whereas for the A375-P cells, it contained 10% FBS. The cells were cultured in a humidified atmosphere at 37°C and 5% CO₂ and air. All cell cultures were free of *Mycoplasma*, reovirus type 3, pneumonia virus
25 of mice, K virus, encephalitis virus, lymphocyte choriomeningitis virus, ectromelia virus, and lactate dehydrogenase virus (assayed by M.A. Bioproducts).

- 17 -

Preparation of Liposomes

PC (175 mg), PS (75 mg), CGP19835 (1 mg), and CGP31362 (0.125, 0.25, 0.5, or 1.0 mg) or JBT3002 (0.125, 0.25, 0.5, or 1.0 mg) were dissolved in chloroform under nitrogen. The clear solution was sterile-filtered through a Gelman-TF-200 (0.2- μ m) filter. Aliquots (1 ml containing phospholipids with or without immunomodulators) were retroevaporated under a stream of nitrogen gas. The tubes with dry film were incubated overnight in a vacuum chamber at room temperature. Multilamellar liposomes were prepared by hydration of the lipid film with HBSS and high-speed agitation for 6 min. The liposomes were diluted into EMEM for addition to macrophage cultures.

10

Isolation and Activation of Macrophages

Peritoneal exudate macrophages (PEM) were collected by peritoneal lavage from mice given an intraperitoneal injection of 2.0 ml of thioglycollate broth (Baltimore Biological Laboratory, Cockeysville, MD) 4 days before harvest (Dong Z, O'Brian CA, *et al. J Leukoc Biol* (1993) 53:53-60; Xie K, Huang S, *et al. Cancer Res* (1995) 55:3123-31). The PEM were washed in Ca^{+2} - and Mg^{+2} -free HBSS and resuspended in serum-free medium: 1×10^5 cells were plated into each 38-mm² well of 96-2311 culture plates (Falcon Plastics, Oxnard, CA). After a 90-min incubation, the nonadherent cells were removed by washing with medium. More than 98% of the adherent cell populations were macrophages according to morphology and phagocytic criteria (Saiki I, *et al. J Immunol* (1985) 135:684-8). These cultures were then fed with supplemented medium containing different combinations of activators of other reagents. After treatment, the cultures were washed and macrophage-mediated cytotoxicity against different tumor targets was determined.

25

- 18 -

In Vitro Activation of Macrophages

Purified cultures of mouse macrophages were incubated at 37°C for 18-24 h with 0.2 ml of control medium or with medium plus liposomes containing HBSS or immunomodulators. Liposomes were suspended in medium with or without rIFN- γ .

- 5 After the incubation period, monocytes or macrophage cultures were thoroughly washed, and target cells were added as described below. Treatment of macrophages with MLV-HBSS or EMEM served as the negative control and treatment of macrophages with LPS and rIFN- γ served as the positive control (Saiki I, *et al. J Immunol* (1985) 135:684-8).

10

Macrophage-mediated Cytotoxicity

- Cytotoxicity was assayed by measuring release of radioactivity from target cell DNA as described previously (Dong Z, *et al. J Exp Med* (1993) 177:1071-7). Briefly, tumor target cells in their exponential growth phase were incubated in medium containing 0.25 μ Ci/ml of [3 H]TdR (sp. Act. 2 Ci/mmol) (ICN Biomedicals, Inc., Irvine, CA) for 15 24 h. The cells were washed three times with HBSS to remove unbound radioactivity and then harvested by trypsinization (0.25% trypsin in 0.02% EDTA), washed, and resuspended in medium. Cells were plated (at 1×10^4 /well) into wells containing control or test macrophages to achieve an E/T cell ratio of 10:1. At this density, macrophages incubated in medium (control) were not cytotoxic to neoplastic cells (Weinstein SL, *et al. Proc Natl Acad Sci USA* (1991) 88:4148-52; Dong Z, *et al. J Leukoc Biol* (1993) 53:53-60). After 72 h of incubation, the cultures were washed three times with PGS, and adherent cells were lysed with 0.1 ml of 0.1 N NaOH. The lysate was harvested with harvester 96 (Tomtec, Orange, CT) and counted for residual radioactivity in a liquid scintillation counter. Macrophage-mediated cytotoxicity was calculated as follows:

25

$$\text{Specific cytotoxicity (\%)} = [A-B]/A \times 100$$

where A = cpm in cultures of control macrophages and tumor cells and B = cpm in cultures of test (treated macrophages and tumor cells).

- 19 -

Assay for Nitrite Production

Nitrite accumulation in the culture supernatant was measured in a colorimetric assay as described previously (Ding AE, *et al. J Immunol* (1988) 141:2407-12). At
5 different times, 50- μ l aliquots of supernatants were mixed with equal volumes of Griess reagent (1% sulfanilamide and 0.1% naphthylenediamine dihydrochloride in 2.5% phosphoric acid). The mixtures were incubated 10 min with shaking, and A540 was measured with the use of a microplate reader (Model 3550; Bio-Rad Corp., San Francisco, CA). The concentration of nitrite was determined by comparing it with a
10 standard solution of sodium nitrite in medium.

Phagocytosis of Liposomes

Macrophages (1×10^5 /38-mm² well) were plated in 96-well plates. MLV were prepared in the same manner as described above with 1% [¹²⁵I]phenylpropinoyl-PtdEtn.
15 N-{3-(3-[¹²⁵I]iodo-4-hydroxybenzyl)propionyl} dipalmitoyl-glycero-phospho-ethanolamine was prepared by using ¹²⁵I-labeled Bolton-Hunter reagent (spec. act. 2000 Ci/mmol) (New England Nuclear) as described earlier (Schroit AJ, *et al. Cancer Res* (1982) 42:161-9). Adherent macrophages were incubated at 37°C with either 25 or 50 nmol MLV. After different times, the monolayers were extensively washed with HBSS
20 and the cells were lysed with 0.1 N NaOH. The lysate was absorbed on cotton, and radioactivity was monitored in a gamma counter (Utsugi T, *et al. Cancer Immunol Immunother* (1991) 33:285-92).

Tyrosine Phosphorylation

25 Western blot analysis described previously (Dong Z, *et al. J Exp Med* (1993) 177:1071-7; Weinstein SL, *et al. Proc Natl Acad Sci USA* (1991) 88:4148-52; Dong Z, *et al. J Leukoc Biol* (1993) 53:53-60) was used to detect phosphorylation of tyrosine.

- 20 -

Briefly, 1×10^7 macrophages/60-mm dish were incubated with MLV-JBT3002 (test), LPS and IFN- γ (positive control), or EMEM alone (negative control). After different times, the cultures were washed five times with PBS containing 1 mM orthovanadate and 5 mM EDTA. The cells were harvested by scraping into a lysis buffer (1T Triton X-100, 20 mM Tris pH 8.0, 137 mM NaCl, 10% glycerol, 1 mM orthovanadate, 2 mM EDTA, 1 mM PHSF, 20 μ M leupeptin, 0.15 U/ml aprotinin). The lysate was placed on ice for 20 min and then centrifuged at 14,000 g for 10 min at 4°C. The protein content of the supernatant was determined using the Lowry assay (BIORAD), and the concentrations were adjusted to 2 mg/ml protein using sample buffer. The samples were then boiled for 5 min, and 40 μ g of protein was placed in a 10% SDS-PAGE gel and transferred onto nitrocellulose membranes with a pore size of 0.45 μ m. The membranes were blocked with 3% bovine serum albumin and 1% ovalbumin in Tris buffered saline (TBS). The tyrosine-specific 4G10 monoclonal antibody was used as primary antibody (0.2 μ g/ml diluted in TBS containing 0.1% Tween 20). The membranes were probed overnight at 4°C and washed three times in Tween containing TBS. Immune complexes were detected by a goat-anti-mouse secondary antibody (Amersham Corp., Arlington Heights, IL) conjugated to horseradish peroxidase (1 h, 1:2000 dilution). The ECL system (Amersham) was used to develop the blotting filters.

Statistical Analysis

All experimental results were analyzed for statistical significance by the use of the two-tailed Student's *t*-test.

RESULTS

Uptake of MLV by Macrophages

In the first set of experiments, the lipid composition of MLV is evaluated to determine its influence on the binding and phagocytosis by macrophages. MLV

- 21 -

consisting of PC alone or PC/PS (7:3 molar ratio) containing 1 mg JBT3002/300 μ M lipid were added to cultures of macrophages. The presence of PS in both the control MLV (containing HBSS) and MLV-JBT3002 (test) produced at least a 10-fold higher uptake than did PC; PC/PS MLV containing JBT3002 were taken up to a higher level than the HBSS control MLV (Figure 3). These data closely agree with previous reports (Fidler IJ, *et al. Lymphokine. Res* (1990) 9:449-54; Utsugi T, *et al. Cancer Immunol Immunother* (1991) 33:285-92; Nii A, *et al. J Immunother* (1991) 10:236-46). MLV uptake was directly correlated with production of NO (data not shown). All subsequent studies were carried out with PC/PS MLV (7:3 molar ratio).

Activation of Tumoricidal Properties in PEM

To rule out direct cytotoxicity effects, K-1735 M2 or A375P melanoma cells were incubated for 4 days with different concentrations of MLV-JBT3002 (0-25 nmol/38-mm² well, 1 mg JBT3002/300 μ M phospholipids). Four days later, the viable tumor cells were counted. MLV-JBT3002 did not produce any direct cytotoxic effects (data not shown).

For all in vitro assays, PEM were incubated in medium in the presence or absence of 10 U/ml rIFN- γ . Negative controls consisted of PEM incubated with medium (endotoxin-free), whereas positive controls consisted of PEM incubated in medium containing 1 μ g/ml LPS and 10 U/ml IFN- γ . PEM were also incubated with different concentrations of the following MLV preparations: MLV-MTP-PE (1 mg), MLV-CGP31362 (1 mg), MLV-JBT3002 (1 mg), and MLV-HBSS (control) (Table 1). After 24 h incubation, the culture supernatants were analyzed for NO production (nitrite/nitrate level), and the lysis of A375P cells was determined after 72 h of coincubation. PEM incubated in medium alone (data not shown) or in medium containing MLV-HBSS did not produce significant levels of NO or cytotoxicity. Macrophages treated with 50 nmol/well of MLV-MTP-PE were tumor-cytotoxic (19%, $P < 0.05$). Macrophages

- 22 -

treated with as little as 3 nmol/ml MLV-CGP31362 or JBT3002 produced significant levels of NO ($>20 \mu\text{M}$, $P<0.001$) and tumor cytotoxicity ($>60\%$, $P<0.001$).

In the next series of experiments, the concentration of JBT3002 was diluted in the MLV (0.1 mg, 0.02 mg, 0.004 mg, and 0.0008 mg/300 μM phospholipids) and PEM was
5 incubated with different concentrations of MLV (containing the different amounts of JBT3002). The minimal concentration of JBT3002 required to generate significant levels of NO (20 μM) was calculated to be 0.12-0.15 ng available to 1×10^5 PEM (Table 2). In parallel studies, the minimal concentration of JBT3002 was determined for significant activation of tumoricidal properties in PEM was 1.5 ng (available in MLV to 1×10^5
10 cells).

Next, the kinetics of PEM activation was determined for production of NO and tumor cell lysis (Figure 4). Production of NO began within 2 h after incubation with PC/PS containing MLV-JBT3002. Significant levels of NO were produced after 12 h of incubation. The production of NO directly correlated with PEM-mediated cytotoxicity
15 against K-1375 M2 cells (Figure 4) or A375P cells (data not shown). These studies demonstrated that incubation of PEM with MLV containing 0.1-1.0 mg JBT3002/300 μM lipid can generate significant production of NO and tumor cytotoxicity.

Mechanism of Macrophage-mediated Tumor Cytotoxicity

20 The production of NO by PEM activated in vitro with MLV JBT3002 was responsible for tumor cell toxicity. This conclusion is based on two experiments. In the first experiment, NMA was used as a specific inhibitor of iNOS (Xie K, *et al. Cancer Res* (1995) 55:3123-31). PEM were incubated with 50 nmol/well of MLV containing 0.1 mg JBT3002/300 μM phospholipid. In the presence of 2 mM NMA, the PEM produced low
25 levels of NO (1.6 μM) and no tumor cytotoxicity (1.3%). In the absence of NMA, the PEM produced 18.3 μM of NO and 50% tumor cytotoxicity ($P<0.001$).

- 23 -

In the second set of experiments, PEM was harvested from iNOS knockout mice (MacMiking JD, *et al. Cell* (1995) 81:641-50). The PEM were incubated in medium alone (negative control), medium containing only 10 U/ml IFN- γ , medium containing 10 U/ml IFN- γ and 1 μ g/ml LPS (positive control), or medium containing different
5 concentrations (0-50 nmol/well) of MLV containing 0.1 mg JBT3002/300 μ M phospholipid. Production of NO was determined after 24 h of activation, and PEM-mediated cytotoxicity against CT-26 and K-1735 M2 cells was determined after 72 h of coincubation (Table 3). Treatment with LPS plus IFN- γ or MLV-JBT3002 induced high levels of NO production and tumor-mediated cytotoxicity ($P < 0.001$) in PEM from normal
10 129/SJ mice (+/+). In PEM from heterozygous mice (+/-), LPS plus IFN- γ or MLV-JBT3002 decreased the production of NO and tumor cytotoxicity to about 50% of that in normal mice. Incubation of PEM from iNOS knockout mice (-/-) with LPS and IFN- γ or MLV containing JBT3002 did not induce production of NO nor significant cytotoxicity (Table 3).

15

Activation of Macrophages from LPS-responsive and LPS-nonresponsive
C3H Mice by MLV-JBT3002

To gain more insight into the activation mechanism of MLV-JBT3002, macrophages of LPS-responsive (C3H/HeN) and LPS-nonresponsive (C3H/HeJ) mice
20 were used (Watson J, *et al. J Immunol* (1978) 120:422-5; Chedid L, *et al. Infect Immunol* (1976) 13:722-6). Macrophages were stimulated with 20, 2, 0.2, or 0.02 nmol/well MLV-JBT3002 in the presence or absence of 10 U/ml IFN- γ . Production of NO was determined after 24 h of incubation, and cytotoxicity against K-1735 M2 cells was determined after 72 h of PEM-tumor cell interaction (Table 4). PEM incubated in
25 medium alone or medium containing only 10 U/ml IFN- γ did not produce NO or tumor cytotoxicity. LPS plus IFN- γ induced significant production of NO and cytotoxicity

- 24 -

against K-1735 M2 cells in PEM from LPS-responsive C3H/HeN mice but not in PEM from LPS-nonresponsive C3H/HeJ mice ($P<0.01$).

In contrast to LPS plus IFN- γ , the incubation of PEM from C3H/HeN and C3H/HeJ mice with MLV-JBT3002 in medium containing 10 U/ml IFN- γ produced high
5 levels of NO production ($P<0.01$) and tumor cytotoxicity ($P<0.01$), suggesting that the activation of PEM by LPS and MLV-JBT3002 may occur by different mechanisms.

Duration of Tumoricidal Activity

To determine the duration of tumoricidal activation of MLV-JBT3002, PEM were
10 incubated with 0.1 mg JBT3002/300 μ M lipid, 0.1 nmol/38-mm² well. After 20 h, the PEM were thoroughly washed and refed with EMEM containing 5% FBS. Radioactively labeled tumor cells were added 1, 2, 3, or 4 days later, and tumor cytotoxicity was determined 72 h after PEM-tumor cell interaction (Table 5). Production of NO was also measured at different time points. Macrophages produced NO for at least 2 days after
15 treatment with MLV-JBT3002. By day 4, this production decreased to nonsignificant levels. Similar results were obtained for tumor-mediated cytotoxicity.

We next determined whether the PEM could respond to a second treatment with MLV-JBT3002. PEM incubated with MLV-JBT3002 for 20 h were washed thoroughly, incubated for 4 days in medium containing 5% FBS, and then given another batch of
20 MLV-JBT3002 (0.1 nmol/well). Both production of NO (34 μ M) and tumor cell cytotoxicity (41%) indicated that PEM can respond to a second challenge by MLV-JBT3002.

Involvement of PTK in the Activation Mechanism

25 Since tumoricidal activation of murine macrophages by LPS or CGP31362 involves phosphorylation of PTK, whether the incubation of macrophages with MLV-JBT3002 also produced phosphorylation of protein tyrosine and whether inhibition of

- 25 -

PTK activity would inhibit tumoricidal activation were determined. PEM were treated with MLV-JBT3002 for different times ranging from 10 min to 24 h. Cell lysates were analyzed for tyrosine phosphorylation using a specific antiphosphotyrosine monoclonal antibody (Dong Z, *et al. J Immunol* (1993) 151:2717-25; Weinstein SL, *et al J Biol Chem* 5 (1992) 267:14955-63). A significant increase in phosphorylation of proteins with apparent molecule mass of 45, 41, and 39 kD (Figure 5A) after 20 min. The phosphorylation was decreased 4 h later. Pretreatment of macrophages with IFN- γ did not alter the phosphorylation and its kinetics induced by MLV-JBT3002 (Figure 5B). Maximal phosphorylation was observed 20-30 min after the addition of MLV-JBT3002. 10 A similar pattern of tyrosine phosphorylation was observed in macrophages primed with IFN- γ and then triggered by LPS for 15 min (Figure 5B).

To determine whether PTK activity was essential for activation of PEM by JBT3002, the PEM were incubated with the immunomodulator in the presence of different concentrations of two PTK inhibitors, genistein and tyrophostin. Both genistein 15 (Figure 6A) and tyrophostin (Figure 6B) inhibited production of NO and cytotoxicity of K-1735 M2 cells in a dose-dependent manner. Tyrophostin caused significant inhibition of tumor toxicity in a concentration range between 10 and 30 μ M, whereas genistein required a minimal inhibition dose of 30-40 μ M. Macrophage viability was not influenced by the inhibitors. The inhibitors did not produce direct antitumor activity 20 (data not shown).

Requirement of IFN- γ for Tumoricidal Activation

Efficient activation of macrophages by LPS requires priming by IFN- γ (Saiki I, *et al. J Immunol* (1985) 135:684-8). In the last set of studies, we incubated macrophages 25 with 2.0 μ g/ml LPS or 50 nmol/38-mm² well (1×10^5 PEM) of 0.1 mg JBT3002/300 μ M lipid in the absence or presence of different concentrations of IFN- γ (0-10 U/ml). Tumor cytotoxicity was measured 72 h after the addition of radiolabeled tumor cells to the

- 26 -

activated macrophages. Induction of 50% tumor cell lysis was mediated by PEM activated with MLV-JBT3002 in the presence of 2 U/ml IFN- γ . Similar cytotoxicity by PEM activated by MLV-CGP31362 required 8 U/ml. PEM activated by LPS required 10 U/ml of IFN- γ .

5

DISCUSSION

These results demonstrate that PC/PS (7:3 molar ratio) liposomes containing JBT3002, a synthetic lipopeptide derived from the outer wall of a gram-negative bacterium, are superior activators of NO production and tumoricidal properties in murine
10 macrophages. This conclusion is based on the following results: (a) Liposomes containing JBT3002 produced tumoricidal activation of macrophages at significantly lower concentrations than liposomes containing MTP-PE or CGP31362. (b) Macrophage activation by MLV-JBT3002 required a lower concentration of IFN- γ (2 U/ml) than liposomes containing MTP-PE (10 U/ml). (c) Macrophages treated with low
15 concentrations of MLV-JBT3002 produced significantly higher levels of NO than those treated with liposomes containing MTP-PE or CGP31362.

Following intravenous administration, >85% of MLV are cleared by phagocytic cells residing in the liver, spleen, lymph nodes, and bone marrow, and by circulating monocytes (4). This fate of circulating liposomes allows for specific targeting of
20 encapsulated drugs, especially immunomodulating agents. The inclusion of negatively charged PS in PC liposomes has been shown to enhance their binding to and phagocytosis by macrophages (Schroit AJ, *et al Cancer Res* (1982) 42:161-7). In agreement with these reports, herein is shown that at any time point, the phagocytosis of MLV consisting of PC and PS (7:3) was significantly higher than that of MLV consisting
25 of only PC. Moreover, the uptake of PC/PS MLV containing JBT3002 was higher than that of PC/PS MLV containing saline (control), indicating that JBT3002 *per se* enhanced

- 27 -

the uptake of MLV by macrophages. Whether this was due to rapid activation of cell surface reorganization or to the total negative charge of the MLV is unclear.

After interacting with cytokines or bacterial products, human and rodent monocytes-macrophages undergo activation, a process characterized by increased activity of protein tyrosine kinases (PTK), leading in turn to cytokine gene expression (Weinstein SL, *et al. J Immunol* (1993) 151:3829-33; Stefanova I, *et al. Science* (1991) 254:1016-7). The requirement of tyrosine (protein) phosphorylation in the activation of tumoricidal properties in murine macrophages by JBT3002 was demonstrated by the use of two specific PTK inhibitors, genistein and tyrphostin (Dong Z, *et al. J Exp Med* (1993) 177:1071-7; Dong Z, *et al. J Leukoc Biol* (1993) 53:53-60; Dong Z, *et al. J Immunol* (1993) 151:2717-25). The inhibition of macrophage activation by MLV-JBT3002 was dose-dependent and could not be reversed by high concentrations of MLV-JBT3002. Interaction of macrophages with MLV-JBT3002 (or LPS) produced phosphorylation of tyrosine on three proteins with masses (39-, 41-, 45-kDa) similar to that of MAP kinases (Dong Z, *et al. J Leukoc Biol* (1993) 53:53-60). Phosphorylation occurred as early as 20 min after exposure of macrophages to MLV-JBT3002, suggesting that the interaction of liposome-bound JBT3002 with a macrophage surface component may trigger tyrosine phosphorylation. Indeed, preliminary data from our laboratory show that free JBT3002 (not entrapped in liposomes) can activate human monocytes to become cytotoxic and that the binding of JBT3002 to the monocyte surface is independent of binding to CD14, which is, in contrast, mandatory for LPS-induced activity (Weinstein SL, *et al. J Immunol* (1993) 151:3829-33; Wright SD, *et al. Science* (1990) 249:1431-2).

Activated macrophages can produce more than 100 distinct molecules ranging in size from 32 kDa (superoxide anion) to 400 kDa (fibronectin) (Nathan CF. *J Clin Invest* (1987) 78:319-30). The production of so many diverse molecules accounts for the role of macrophages in multiple biological processes that range from mitogenesis and tissue repair to destruction of tumor cells or microorganisms (Fidler IJ. *et al Encyclopedia of*

- 28 -

Cancer, vol II. Orlando, FL: Academic Press, 1997;1031-41). A major diffusible mediator that can produce death in adjacent tumor cells is NO, which is regulated by the activity of iNOS (Xie K, *et al. Cancer Res* (1995) 55:3123-31; Xie K, *et al. J Exp Med* (1995) 181:1333-44; Dinney CPN, *et al. Principles and Practice of Genitourinary*
5 *Oncolog*, Philadelphia: Lippincott-Raven, 1996;17-24).

The mechanism by which MLV-JBT3002-activated macrophages mediated tumor cell lysis is by the production of NO. This conclusion is based on the results of two studies. First, NMA, a specific inhibitor of iNOS, blocked production of NO and tumoricidal properties I macrophages incubated with MLV-JBT3002. Second,
10 subsequent to interaction with JBT3002, macrophages harvested from iNOS knockout mice (MacMiking JD, *et al. Cell* (1995) 81:641-50) did not produce NO and were not cytotoxic against tumor cells. There were discernible differences between activation of macrophages by LPS and MLV-JBT3002. MLV-JBT3002 equally activated tumoricidal properties in macrophages from both LPS-responsive (C3H/HeN) and LPS-
15 nonresponsive (C3H/H3J) mice, whereas LPS did not. These data agree with results of studies with human monocytes showing that, in contrast to LPS, activation with JBT3002 is independent of serum-binding protein and binding to CD14. Whether the in vivo administration of MLV-JBT3002 will not produce adverse side effects associated with LPS or lipid A (Niewoehner DE, *et al. J Appl Physiol* (1987) 63:1979-86; Arbibe L, *et*
20 *al. J Immunol* (1997) 159:391-400) is now under active investigation.

Since NO appeared to be the major cytotoxic molecule that mediated lysis of tumor cells by MLV-JBT3002-activated macrophages, its production as a measure of tumoricidal activation was monitored. These data indicate that tyrosine phosphorylation was an initial step in the MLV-JBT3002-mediated activation cascade, triggered
25 downstream signals that in turn lead to expression of diverse genes and production of many molecules, including NO radicals. The kinetics data suggest that the lag period

- 29 -

between exposure of macrophages to MLV-JBT3002 and production of biologically significant levels ($>20 \mu\text{M}$) of NO and, hence, tumoricidal activity, is 8-12 h.

The biological half-life of MLV-entrapped immunomodulators can determine the schedule of in vivo administration. The finding that once activated by interaction with
5 MLV-JBT3002, macrophages were highly cytotoxic for 2-3 days and could be reactivated by a second exposure to MLV-JBT3002 suggests that in vivo administration need not be given more often than three times weekly.

In summary, herein the new synthetic JBT3002 lipopeptide entrapped in PC/PS liposomes is shown to be a potent activator of tumoricidal properties of murine
10 macrophages by a mechanism that differs from LPS. These data highly support the in vivo use of MLV-JBT3002 to enhance host resistance to infections and cancer.

Example 2

MATERIALS AND METHODS

15 Reagents

Eagle's minimum essential medium (EMEM), Hanks' balanced salt solution (HBSS), and fetal bovine serum (FBS) were purchased from Life Technologies (Grand Island, NY). Recombinant mouse IFN- γ (specific activity $1 \times 10^5 \text{ U/mg protein}$) was obtained from Genentech (San Francisco, CA). Phenol-extracted *Salmonella*
20 lipopolysaccharide (LPS) was purchased from Sigma Chemical, Inc. (St. Louis, MO). JBT3002 was obtained from Jenner Technologies (San Raphael, CA). Genistein, PD98059, calphostin-C, and H-89 were purchased from Calbiochem-Novabiochem Int. (San Diego, CA). The enzyme-linked immunosorbent assay (ELISA) kits for mouse TNF- α , IL- α , IL-6, IL-10, and GM-CSF were purchased from R&D Systems, Inc.
25 (Minneapolis, MN). 1', 2'-Dioleoyl-*sn*-glycero-3-phospho-L-serine monosodium salt (PtdSer) and 1-palmitoyl-2-oleoyl-*sn*-glycero-3-phosphocholine (PtdCho) were synthesized at Ciba-Geigy, Ltd. (Basel, Switzerland). (van Hoogevest P, *et al. Liposomes*

- 30 -

in the therapy of infectious diseases and cancer. Liss, New York, 1989;453-466) All reagents, except for LPS, were free of endotoxin as determined by the *Limulus* aboebocyte assay (detection limit 0.125 ng/ml) acquired from Associates of Cape Cod, Inc. (Walpole, MA).

5

Preparation of Liposomes

PC (175 mg), PS (75 mg), and JBT3002 (0.1 mg) were dissolved in chloroform. The lipids, with or without immunomodulators, were dried as a thin layer onto the glass by rotating the tube under a gentle stream of nitrogen gas. Residual chloroform was removed by incubating the tubes in a vacuum overnight at room temperature. Multilamellar liposomes were prepared by hydrating the lipid film with HBSS, followed by vigorous shaking for 5 minutes using a vortex shaker. (Schroit AJ, *et al. Cancer Res* (1982) 42:161-167) The liposomes were diluted into EMEM for addition to macrophage cultures.

15

Animals

Specific, pathogen-free, female C57BL/6 mice were purchased from the Jackson Laboratory (Bar Harbor, ME). The mice were used when they were 8-12 weeks old. Animals were maintained according to institutional guidelines in facilities approved by the American Association for Accreditation of Laboratory Animal Care and in accordance with current United States Department of Agriculture, Department of Health and Human Services, and the National Institutes of Health regulations and standards.

Isolation and Activation of Macrophages

25 Peritoneal exudate macrophages (PEM) were collected by peritoneal lavage from mice given an intraperitoneal injection of 1.5 ml of thioglycollate broth (Baltimore Biological Laboratory, Cockeysville, MD) 4 days before harvest. (Saiki I, *et al. J Immunol*

- 31 -

(1985) 135:684 688; Dong Z, *et al. J Leukoc Biol* (1993) 53:53-60; Dong Z, J *et al. J Exp Med* (1993) 177:1071-1077) The PEM were washed in CA^{2+} - and MG^{2+} -free HBSS and resuspended in serum-free medium: 1×10^5 cells were plated into each 38-mm² well of 96-well culture plates (Falcon Plastics, Oxnard, CA). After a 90-minute incubation, the nonadherent cells were removed by washing them with medium. More than 98% of the adherent cell populations were macrophages according to morphology and phagocytic criteria. (Saiki I, *et al. J Immunol* (1985) 135:684 688)

ELISAs for Cytokines

10 PEM in 96-well plates at a density of 10^5 cells/well/200 μ l of medium were treated as indicated in Results. The culture supernatants were harvested and used immediately for the cytokine assays or stored at -70°C. For cell lysates, supernatants were removed and macrophages were frozen-thawed in fresh medium. Specific cytokines in the supernatants or cell lysates (diluted at 1:5 or 1:10) were measured by ELISA kits
15 according to manufacturer's instructions.

Assay for Nitrite Production

The nitrite concentration in culture supernatants was determined by a microplate assay as described previously. Briefly, 50- μ l samples harvested from PEM-conditioned medium were treated with an equal volume of Griess reagent (1% sulfanilamide, 0.1% naphthylene diamine dihydrochloride, and 2.5% H_3PO_4) at room temperature for 10 minutes. The absorbance at 540 nm was monitored with a microplate reader. The nitrite concentration was determined using sodium nitrite as a standard.

25 Northern Blot Analysis

PEM were plated into 150-nm dishes at 5×10^7 cels/plate. mRNA was extracted using the FastTrack mRNA isolation kit (Invitrogen, San Diego, CA) from PEM cultured

- 32 -

in medium alone or with different agents as indicated in the results. mRNA was electrophoresed on 1% denaturing formaldehyde-agarose gel, electrotransferred to GeneScreen nylon membrane (DuPont Co., Boston, MA), and UV cross-linked with 120,000 $\mu\text{J}/\text{cm}^2$ using a UV Stratalinker 1800 (Stratagene, LA Jolla, CA). Cytokines and GAPDH mRNA were detected using cDNA probes of mouse TNF- α , IL-1 α , IL-6, GM-CSF, and rat glyceraldehyde-3-phosphate dehydrogenase (GAPDH) labeled by nick translation with [α - ^{32}P]CTP. Hybridizations were performed as described previously. (Kumar R, *et al. J Immunol* (1996) 157:5104-5111). Nylon filters were washed at 55-60°C with 30 mM NaCl, 3mM sodium citrate (pH 7.2), and 0.1% SDS.

Densitometric Quantitation

Expression of cytokine genes was quantified by densitometry of autoradiograms using an Image Quant software program (Molecular Dynamics, Sunnyvale, CA). The value for each sample was calculated as the ratio of the average areas of cytokine-specific mRNA transcripts to the GAPDH mRNA transcript in the linear range of the film.

Statistical Analysis

All experimental results were analyzed for statistical significance by the use of the two-tailed Student's t-test.

RESULTS

Expression of Cytokines in Macrophages

In Example 1, liposome-encapsulated JBT3002 was shown to induce production of nitric oxide (NO) in murine macrophages and hence activate the cells to lyse tumorigenic target cells. Since the tumoricidal activity of monocytes/macrophages is mediated by secretory products, including cytokines, whether JBT3002 encapsulated in liposomes induced the production of TNF- α , IL-1 α , IL-4, IL-6, IL-10, and GM-CSF by

- 33 -

murine macrophages was evaluated. PEM were incubated for 24 hours in medium containing different concentrations of liposomes--JBT3002 (0.1 mg/300 μ mol phospholipid) in the presence or absence of 20 U/ml IFN- γ .

Treatment of PEM with MLV-JBT3002 in the presence of 10 U/ml IFN- γ induced the production of NO in a dose-dependent manner (Figure 7A). Production of TNF- α (Figure 7B), IL-1 α (Figure 7C), and IL-6 (Figure 7D) by liposome JBT3002-activated macrophages did not require the presence of IFN- γ , although at the lower concentrations of JBT3002, IFN- γ did enhance production of TNF- α . The culture supernatants did not contain significant levels of IL-4, IL-10, or GM-CSF (data not shown).

In the next set of experiments, the time course of cytokine production by PEM was monitored. Significant levels of TNF- α and IL-1 α were detected by 4 hours after incubation of PEM with 50 nmol/well MLV-JBT3002 (0.1 mg/300 μ mol lipid). The production-release of TNF- α and IL-1 α reached a plateau by 8 hours (Figures 8B and 8C). The production-release of IL-6 also peaked at 8 hours after exposure of PEM to MLV-JBT3002 (Figure 8D). IFN- γ did not alter the kinetics of cytokine production-release (data not shown).

Induction of Cytokine mRNA

PEM were treated for 4 hours with LPS (100 ng/ml) or MLV-JBT3002 (0.1 mg/300 μ mol lipids) in the presence or absence of IFN- γ (10 U/ml). mRNA was extracted and analyzed for cytokine expression by northern blotting. Control PEM incubated with medium alone, medium containing IFN- γ , or medium with MLV containing HBSS (Figure 9, lanes 1, 2, and 7) did not express any detectable levels of mRNA for TNF- α , IL-1 α , IL-6, and GM-CSF. LPS (lanes 3 and 4) and MLV-JBT3002 (lanes 5 and 6) induced the expression of mRNA for TNF- α , IL-1 α , and IL-6 in the PEM. The presence of IFN- γ (lanes 4 and 6) did not increase the expression of mRNA for these

- 34 -

cytokines in comparison with PEM treated with LPS or MLV-JBT3002 in the absence of IFN- γ (lanes 3 and 5). MLV-JBT3002 also induced the expression of GM-CSF, albeit to a low level (lane 5). This expression did not correlate with production of detectable levels of protein (data not shown).

5

Induction of Cytokines by JBT3002 is Serum-independent

Since the activation of monocytes/macrophages by LPS requires a serum LPS-binding protein, (Wright SD, *et al. Science* (1990) 249:1431-1439; Schumann RR, *et al. Science* (1990) 249:1429-1431) whether the activation of PEM by JBT3002 was also
10 serum-dependent was evaluated. PEM were incubated in serum-free or serum (5% FBS)-supplemented EMEM containing IFN- γ , LPS, LPS plus IFN- γ , MLV-JBT3002, or MLV-JBT3002 plus IFN- γ . LPS plus IFN- γ generated production of NO (Figures 10A), TNF- α (Figure 10B), IL-1 α (Figure 10C), and IL-6 (Figure 10D) only in the presence of serum. Activation of PEM by MLV-JBT3002 alone or in the presence of IFN- γ (to produce NO,
15 TNF- α , IL-1 α and IL-6) was independent of serum.

Involvement of Protein Tyrosine Kinase in the Activation of PEM by JBT3002

The activation of macrophages by LPS requires tyrosine phosphorylation of different proteins, (Dong Z, *et al. J Leukoc Biol* (1993) 53:53-60; Dong Z, J *et al. J Exp*
20 *Med* (1993) 177:1071-1077; Ding AE, *et al. J Immunol* (1993) 151:5596-5602; Weinstein SL, *et al. J Biol Chem* (1992) 267:14955-14962) activation of MAP kinases, (Dong Z, J *et al. J Exp Med* (1993) 177:1071-1077; Arditi M, *et al. J Immunol* (1995) 155:3993-4003; Liu MK *et al. J Immunol* (1994) 153:2642-2652) and protein kinase C (PKC). (Paul A, *et al. Br. J Pharmacol* (1995) 114:482488; Shinji H, *et al. J Immunol*
25 (1994) 153:5760-5771; Novotney M, *et al. Biochemistry* (1991) 30:5597-5604). To determine whether activation by JBT3002 is mediated by these kinases, PEM with EMEM containing the MAP kinase kinase (MEK) inhibitor PD-98059, (Dudley DT, *et al.*

- 35 -

Proc Natl Acad Sci USA (1995) 92:7686-7689) the tyrosine kinase inhibitor, genistein, (Constantinou A, *et al. Proc Soc Exp Biol Med* (1995) 208:109-115) the protein kinase C inhibitor, calphostin-C, (Jarvis WD, *et al. Cancer Res* (1994) 54:1707-1714) and the protein kinase A inhibitor H-89 (Findik D, *et al. J Cell Biochem* (1995) 57:12-21) were
5 each incubated for 20 minutes prior to the addition of LPS or MLV-JBT3002. After 24 hours, the PEM culture supernatans were assayed for nitrite content (Figure 11A) or TNF- α (Figure 11B). At the concentrations used, none of the compounds were toxic to macrophages (data not shown). PD-98059 did not alter the production of TNF- α or NO induced by either LPS or JBT3002. Genistein significantly inhibited the production of
10 TNF- α and NO by PEM treated with LPS or JBT3002. Neither calphostin-C nor H-89 had a significant effect on the production of TNF- α , although calphostin-C did inhibit NO production in PEM treated with LPS or MLV-JBT3002. The inhibition of cytokine production by Genistein occurred at the level of mRNA as assessed by northern blot analysis (data not shown).

15

DISCUSSION

The present results demonstrate that JBT3002, a new synthetic lipopeptide of the outer wall of a gram-negative bacterium, is a potent activator of inflammatory cytokines in murine macrophages. Activated macrophages can produce more than 200 distinct
20 molecules ranging in size from 32 dalton (superoxide anion) to 400 kDa (fibronectin). (Nathan CF. *J Clin Invest* (1987) 78:319330). The diversity of these molecules accounts for the multifaceted role of macrophages, ranging from mitogenesis and tissue repair to destruction of tumor cells and microorganisms. (Fidler IJ. *Adv Pharmacol* (1994) 30:271-326; Fidler IJ. *Cancer Res* (1985) 45:4714-26). The potentiation of cytokine production
25 by macrophages using synthetic immunomodulators such as JBT3002 may therefore improve the clinical management of cancer and infectious diseases. For these studies, JBT3002 was encapsulated in multilamellar liposomes composed of PC and PS 7:30

- 36 -

molar ratio). Consistent with previous reports, (Asano T, *et al. J Immunother* (1993) 14:268-292; Schroit AJ, *et al. Cancer Res* (1982) 42:161-167) herein is shown that these liposomes allow for efficient activation of macrophages to produce NO and cytokines.

Macrophage activation by LPS requires LPS-binding protein found in serum
5 (Wright SD, *et al. Science* (1990) 249:1431-1439; Schumann RR, *et al. Science* (1990) 249:1429-1431) which these studies confirmed. In contrast, however, the activation of macrophages by the lipopeptide JBT3002 did not require serum proteins. Activation of protein kinases, especially protein tyrosine kinases and PKC, are important for intracellular signaling of various macrophage-activating agents. (Dong Z, *et al. J Leukoc*
10 *Biol* (1993) 53:53-60; Dong Z, J *et al. J Exp Med* (1993) 177:1071-1077; Ding AE, *et al. J Immunol* (1993) 151:5596-5602). To determine the role of various kinases in JBT3002-mediated macrophage activation, different inhibitors of protein kinases were used and found that, as is the case for LPS, JBT3002-induced signaling involves protein tyrosine kinases. PKC and PKA are not involved in the cytokine induction by either LPS
15 or JBT3002, but the PKC inhibitor calphostin-C inhibited NO production in the presence of IFN- γ . These results confirm the role of PKC in IFN- γ -mediated signal transduction. (Celada A, *et al. J Immunol* (1986) 137:2373-2379).

In conclusion, the synthetic lipopeptide JBT3002 induced TNF- α , IL-1 α , and IL-6 production in mouse peritoneal macrophages by a mechanism that is similar to though
20 distinct from LPS. These studies further support the systemic administration of JBT3002 to enhance host resistance to infections and cancer.

Example 3

25 A primary function of monocytes/macrophages is to discriminate between "self" and "altered self" and thus participate in host defense against microorganism and cancer. This function requires monocyte/macrophage activation, which is achieved subsequent to

- 37 -

interaction with lymphokines such as IFN- γ and whole microorganism or their products such as LPS, cell wall skeleton, and bacterial components such as muramyl dipeptide. The activation of bactericidal-tumoricidal properties in macrophages by lymphokines and bacterial components frequently occurs in sequence: for example, IFN- γ primes
5 macrophages to respond to a second signal such as LPS. Activated monocytes/macrophages produce more than 100 distinct molecules, including TNF- α , IL-1 β , IL-6, and prostaglandins, and different stimuli can induce the release of different products.

While the antitumor activity of LPS and lipid A, the active component of LPS,
10 was established in a variety of tumor models, their therapeutic application, unfortunately, went unrealized, partly because of dose-limiting side effects. For this reason, many attempts have been made to develop synthetic activators of monocytes/macrophages, which led to the discovery of a series of compounds that can render monocytes/macrophages tumoricidal. These compounds include muramyl dipeptide,
15 muramyl tripeptide phosphatidylethanolamine (MTP-PE), and the lipopeptide, CGP31362.

Efficient *in situ* activation of macrophages can be achieved by the encapsulation of immunomodulators within phospholipid liposomes. The systemic administration of liposomes with MTP-PE has produced regression of metastases in murine tumor systems,
20 dogs with spontaneous osteogenic sarcoma, and increased disease-free survival in children with chemotherapy-resistant osteogenic sarcoma lung metastases. Whether different synthetic molecules would produce a more effective therapy remained unclear.

The incubation of human monocytes with MTP-PE or lipopeptide CGP31362 induced production of different cytokines. Moreover, liposomes containing CGP31362
25 produced superior tumoricidal activation of macrophages leading to regression of metastases in murine systems. The usefulness of the lipopeptide CGP31362, however, has been limited by its solubility properties, prompting the design of analogues.

MATERIALS AND METHODS

Reagents

Eagle's MEM (EMEM), HBSS, and FBS were purchases from M. A. Bioproducts
5 (Walkersville, MD). Human recombinant interferon-gamma (IFN- γ) (sp. act., 5.2×10^7
U/mg protein) was the generous gift of Genentech, Inc. (South San Francisco, CA), and
the phenol-extracted *Salmonella* LPS was purchased from Sigma Chemical, Inc. (St.
Louis, MO). The ELISA kits for human TNF- α , IL-1 β , and IL-6 were purchased from
BioSource International (Camarillo, CA). [3 H]TdR (sp. act., 2 Ci/mmol) was purchased
10 from ICN Biomedicals (Costa Mesa, CA). JBT3002 was generously provided by Jenner
Technology (San Ramon, Ca). Human CD14-specific hybridoma 3C10 was obtained
from the American Type Culture Collection (Rockville, MD). Neat ascites fluid
produced in BALB/c mice was used. Monoclonal antiphosphotyrosine antibody 4G10
was purchased from UBI (Lake Placid, NY). JNK-specific monoclonal antibody 333.1
15 was raised against JNK1 and ascitic fluids used in Western blot analysis. Rabbit anti-
activated MAP kinase antibody was purchased from Promega (Madison WI). All
reagents used in tissue culture, except LPS, were free of endotoxin as determined by the
Limulus amebocyte lysate assay (sensitivity limit of 0.125 ng/ml) (Associates of Cape
Code, Walpole, MA).

20

Preparation of JBT3002

Free-form JBT3002: JBT3002 was suspended in HBSS at 1 mg/ml, sonicated for
5 min, and stored at 4°C. It was vortexed prior to each experiment.

Liposomeencapsulated JBT3002: PC (175 mg), PS (75 mg), and JBT3002 (0.125, 0.25,
25 0.5, or 1.0 mg) were dissolved in chloroform. The clear solution was sterile-filtered
through a Gelman-TF-200 (0.2- μ m filter). Aliquots of 1 ml containing phospholipids

- 39 -

with or without immunomodulators were retroevaporated under a stream of nitrogen gas. The tubes with dry film were incubated overnight in a vacuum chamber at room temperature. Multilamellar liposomes were prepared by hydration of the lipid film with HBSS and high-speed agitation on a vortex for 6 min as in Example 2. The liposomes
5 were diluted into Eagle's MEM before addition to monocyte cultures.

Tumor cell lines

A375SM human melanoma cells (Ichinose, Y. *et al. Cancer Immunol. Immunother.* (1988) 27:7) were maintained as monolayer cultures in EMEM
10 supplemented with vitamins, sodium pyruvate, nonessential amino acids, L. glutamine, and 10% FBS. The cell line was free of *Mycoplasma* and pathogenic mouse viruses.

Isolation of human monocytes

Blood-cells buffy coats were obtained on the day of collection from the Gulf
15 Coast Regional Blood Center (Houston, TX). The buffy coats were diluted with HBSS and layered on to 15 ml of prescreened endotoxin-free lymphocyte separation medium (Ficoll-Hypaque; density: 1.077). After 10 min of centrifugation at 1500 x g, the mononuclear fractions were collected, washed once, and resuspended in 20 ml of
elutriation medium (2% human albumin-100 U/ml penicillin and 100 µg/ml streptomycin
20 in PBS). Monocyte-rich fractions were isolated by countercurrent elutriation using a JE-6B elutriation rotator (Beckman) as described in detail previously (Fidler, I. *et al. Prog. Clin. Biol. Res.* (1989) 288:169). At a speed of 3000 rpm and flow rate of 41 ml/min, the monocyte fraction was obtained; it contained >90-95% monocytes as identified by nonspecific esterase staining morphological examination; they were >95% viable as
25 measured by the trypan blue exclusion test. The cells were incubated in serum-free EMEM for 18 h prior to assays.

- 40 -

Monocyte-mediated cytotoxicity

Monocytes plated at a density of 1×10^5 cells/38-mm² well of 96-well plates were incubated at 37°C for 18-24 h with medium or with medium containing different concentrations of free-form or MLV-JBT3002 or LPS in the presence or absence of 10 U/ml human IFN- γ . Monocyte-mediated cytotoxicity was assessed by measuring the release of radioactivity from DNA of prelabeled target cells as described previously (Dong, Z. *et al. J. Immunol.* (1993) 151:2717). Briefly, A375SM cells in the exponential phase of growth were incubated for 24 h in supplemented EMEM containing 0.2 μ Ci/ml [³H]TdR (sp. act., 2 Ci/mmol). The tumor cells were harvested by a brief trypsinization (0.25% trypsin and 0.02% EDTA), washed, resuspended in supplemented EMEM, and plated into wells containing monocytes (1×10^4 tumor cells/well). After a 72-h coincubation, the cultures were washed twice with PBS, and adherent viable cells were lysed with 0.1 ml of 0.1 N NaOH. The lysates were harvested with a Harvester 96 (Tomtec, Orange, CT) and counted in a liquid scintillation counter. The cytotoxic activity of monocytes was calculated as follows:

$$\text{Cytotoxicity (\%)} = (A-B)/A \times 100$$

where A = cpm in cultures of control monocytes and target cells, and B = cpm in cultures of treated monocytes and target cells.

ELISAs for TNF- α , IL-1 β , and IL-6

After overnight incubation in serum-free EMEM, monocytes plated at the density of 1×10^5 /38 -mm² well /200 μ l of EMEM (96-well plates) were treated as indicated in the Results section. The culture supernatants were harvested and used immediately or stored at -70°C. The supernatants were diluted at 1:5 or 1:10 and assayed for cytokines using ELISA kits according to the manufacturer's instructions.

- 41 -

Western blot analysis

Monocytes (2.5×10^6 /30-mm diameter dish) incubated at 37°C were treated with different concentrations of LPS or LPS or JBT3002 as indicated in the Results section.

- 5 After two washes with PBS containing 1 mM Na_3VO_4 and 5 mM EDTA, the cells were scraped into 0.1 ml lysis buffer (1% Triton X-100, 20 mM Tris pH 8.0, 137 mM NaCl, 10% glycerol, 1 mM Na_3VO_4 , 2 mM EDTA, 1 mM PMSF, 20 μM leupeptin, 0.15 U/ml aprotinin). The lysate was placed on ice for 20 min and then centrifuged at 14,000 rpm for 10 min at 4°C. The samples (50 μg) were mixed with sample buffer (62.5 mM
- 10 Tris/HCl, pH 6.8, 2.3% SDS, 100 mM DTT, and 0.05% bromophenol blue), boiled and, separated on 10% SDS-PAGE. The protein was then transferred onto 0.45 μm nitrocellulose membranes. The filter was blocked with 3% BSA and 1% ovalbumin (ICN Biomedicals, Inc.) in TBS (20 mM Tris/HCl, pH 7.5, 150 mM NaCl), probed with antibodies as indicated in the Results in TTBS (TBS containing 0.1% Tween 20),
- 15 incubated with a second antibody in the buffer, and visualized by the ECL Western blotting detection system (Dong, Z., *et al. J. Exp. Med.* (1993) 177:1071; Dong, Z. *et al. J. Leukoc. Biol.* (1993) 58:725).

RNA isolation and northern blot analyses

- 20 Monocytes were plated at a density of 1.2×10^7 cells/100-mm dish. Total RNA was extracted using Tri reagent™ kit according to the manufacturer's instructions (Molecular Research Center, Inc., Cincinnati, OH). For northern blot analyses, 10-20 μg of total RNA was separated in 1% denaturing formaldehyde-agarose gels, transferred to GeneScreen nylon membrane, and UV cross-linked with 120,000 $\mu\text{J}/\text{CM}^2$ using a UV
- 25 Stratalinker 1800. Cytokine and GAPDH and mRNA were detected using cDNA probes of Human TNF- α , IL-1 β , IL-6 and rat glyceraldehyde-3-phosphate dehydrogenase

- 42 -

(GAPDH) labeled by nick translation with α -³²P-CTP. Hybridizations were performed as described (Dong, Z. *et al. J. Natl. Cancer Inst.* (1994) 86:913). Filters were washed at 55-60°C with 30 mM NaCl, 3 mM sodium citrate (pH 7.2), 0.1% SDS.

5 Statistical analysis

The experimental results were analyzed for their statistical significance by the two-tailed Student's *t* test.

Results

10 Activation of tumoricidal properties in blood monocytes by MLV-JBT3002

In the first set of experiments, whether liposome-encapsulated JBT3002 could activate monocyte-mediated tumoricidal activity were determined. Human peripheral blood monocytes were incubated for 18-24 h with various concentrations of MLV containing JBT3002 at different JBT3002/phospholipid ratios in the presence or absence
15 of 10 U/ml human IFN- γ . The treated monocytes were washed and p³H]TdR-labeled A375SM melanoma cells were plated on top of the adherent monocytes. The lysis of the A375SM cells was determined 72 h later. Consistent with previous reports (Nii, A. *et al. Lymphokine Res.* (1990) 9:113; Jonjic, N. *et al. Eur. J. Immunol.* (1992) 22:2255), nonactivated human monocytes and monocytes incubated with control MLV containing
20 HBSS did not lyse the tumor cells (Figure 12E), however MLV-JBT3002 did lyse tumor cells in a dose-dependent manner (Figure 12A-12E). For example, at 50 nmol/well, monocytes treated by MLV containing 1000, 500, 250, and 125 μ g JBT3002/300 μ mol phospholipid lysed 45% ($P<0.001$), 31% ($P<0.01$), 19% ($P<0.05$), and 12% of the tumor cells, respectively. Treatment with 20 U/ml of human IFN- γ alone did not result in
25 monocyte-mediated tumoricidal activity, but it did significantly augment tumoricidal activation of blood monocytes by MLV-JBT3002 (Figure 12A-12E) ($P<0.01$). As

- 43 -

positive controls, monocytes were treated with LPS (100 ng/ml) and/or IFN- γ (10 U/ml). As shown in Figure 12F, 25% and 48% cytotoxicity were observed in monocytes treated with LPS alone ($P < 0.01$) and LPS plus IFN- γ ($P < 0.001$), respectively. These data show that MLV-JBT3002 is a potent activator of tumoricidal properties in human blood monocytes.

Induction of cytokine production by MLV-JBT3002

Since the tumoricidal activity of monocytes is mediated by secretory products, including cytokines (Nathan, C. F. *J. Clin. Invest.* (1987) 79:319), the effect of MLV-JBT3002 on the production of three prominent inflammatory cytokines of activated monocytes: TNF- α , IL-1 β , and IL-6 was investigated. Monocytes (1×10^5 /38-mm² well) were incubated for 24 h with MLV (100 nmol/well) containing various concentrations of JBT3002 in the absence or presence of IFN- γ (10 U/ml). The cytokines in the culture supernatant were measured by ELISA (Figure 13). MLV-JBT3002 induced the production of TNF- α (panel A), IL-1 β (panel B), and IL-6 (panel C) in a dose-dependent manner, and in parallel with tumoricidal activation. IFN- γ alone did not stimulate cytokine production (data not shown), but significantly increased ($P < 0.01$) the production of the three cytokines induced by MLV-JBT3002 (Figure 13).

Monocyte activation by free-form JBT3002

In the next set of experiments, the kinetics of TNF- α production induced by free-form JBT3002 and MLV-JBT3002 were compared. Monocytes were treated for various periods of time with free-form JBT3002 (1 ng/ml) or MLV-JBT3002 (100 nmol/well of 1 mg/300 μ mol lipid). TNF- α protein was detected in the culture supernatant of monocytes after 2 h incubation with either free-form JBT3002 or MLV-JBT3002; the levels plateaued at 4-8 h and decreased thereafter (Figure 14A). There was no significant difference in the kinetics of TNF- α production between monocytes stimulated by free-

- 44 -

form JBT3002 and MLV-JBT3002 (Figure 14A). Next the dose-dependent induction of TNF- α production in monocytes treated for 8 h with LPS, free-form JBT3002, and MLV-JBT3002 was analyzed. LPS induced TNF- α production in a dose-dependent manner in the range of 1-1000 ng/ml (Figure 14B), but JBT3002 was more potent and activated monocytes in a wider range of concentrations (0.001-10 ng/ml). MLV-JBT3002 activated TNF- α production in a range of 0.1-100 nmol/well (equivalent to 1.5-1,500 ng/ml of JBT3002).

Activation of human blood monocytes by JBT3002 is serum- and CD14-independent

Since activation of monocytes by LPS requires a serum LPS-binding protein (LBP) and is initiated following interaction of LPS-LBP complex with its receptor CD14 on monocytes (Wright, S.D. *et al. Science* 249:1431), whether serum was required for activation of monocytes by JBT3002 was determined. Monocytes were incubated with LPS or free-form JBT3002 in EMEM containing 5% FBS or serum-free EMEM. In EMEM with 5% FBS, similar amounts of TNF, IL-1, and IL-6 were generated in monocytes activated by LPS (100 ng/ml) and JBT3002 (1 ng/ml) (Figure 15A-15C). LPS-induced activation of monocytes was diminished in serum-free EMEM and was reduced by 72% in the presence of 3C10 monoclonal antibody, which is specific to and neutralizes CD14 (Figure 16). In contrast, production of the cytokines induced by free-form JBT3002 was not significantly altered in the absence of serum (Figure 15A-15C) and was not inhibited by the anti-CD14 antibody (Figure 16).

Expression of cytokine mRNA

Monocytes were incubated for 1-3 h with LPS (100 ng/ml), MLV-JBT3002 (500 nmol/ml of 1 mg JBT3002/300 μ mol lipids), and JBT3002 (1 ng/ml) in the presence or absence of 10 U/ml IFN- γ . Total cellular RNA was then extracted and analyzed by northern blotting. As shown in Figure 17, resting monocytes and monocytes treated with

- 45 -

MLV-HBSS constitutively expressed low levels of TNF- α mRNA and did not express detectable levels of steady-state mRNAs for IL-1 and IL-6 (Figure 17A, lanes 1 and 5). Expression of TNF- α , but not IL-1 β and IL-6, was increased by the presence of IFN- γ (Figure 17A, lanes 2 and 6). High levels of mRNA for TNF- α , IL-1 β , and IL-6 were expressed in cells treated with LPS (Figure 17A, lane 3), MLV-JBT3002 (Figure 17A, lane 7), or free-form JBT3002 (Figure 17, lane 9). Expression of TNF- α , but not IL-1 β and IL-6, induced by LPS, MLV-JBT3002, or free-form JBT3002 was augmented by the presence of IFN- γ (Figure 17A, lanes 4, 8, and 10). In agreement with the production of TNF- α protein, a significant reduction of steady-state TNF- α mRNA was noted in monocytes stimulated by LPS in serum-free medium (Figure 17B, lane 2) as compared with that in serum containing medium (Figure 17B, lane 5). Induction of TNF- α mRNA by JBT3002 did not require serum, as evidenced by high levels of TNF- α mRNA whether in the absence (Figure 17B, lane 3) or presence (Figure 17B, lane 6) of serum.

15 *Activation of intracellular signaling pathway*

Treatment of macrophages and monocytes with LPS triggers many intracellular signaling pathways. Among them are protein tyrosine phosphorylation (Wright, S.D. *et al. Science* (1990) 249:1431; Weinstein, S. L. *et al. J. Immunol.* (1993) 151:3829; Stefanova, I. *et al. Science* (1991) 254:1016), and activation of JNK1 (Hambleton, J. *et al. Proc. Natl. Acad. Sci. USA* (1996) 93:2774) and MAP kinases (Dong, Z., *et al. J. Exp. Med.* (1993) 177:1071; Liu, M. K. *et al. J. Immunol.* (1994) 153:2642; Arditi, M. *et al. J. Immunol.* (1994) 155:3994), which may be involved in production of cytokines and tumoricidal activation of monocytes-macrophages (Dong, Z., *et al. J. Exp. Med.* (1993) 177:1071; Dong, Z. *et al. J. Immunol.* (1993) 151:2717; Dong, Z. *et al. J. Leukoc. Biol.* (1993) 53:53). Whether JBT3002 could activate these signaling pathways was investigated. After incubation of monocytes for 20 min with increasing concentrations of LPS or free-form JBT3002, lysates were prepared and analyzed by Western blotting. As

- 46 -

shown in Figure 18, treatment of monocytes with LPS induced tyrosine phosphorylation of proteins with apparent molecule masses of 42 and 38 kDa, a JNK1 band shift, and activation of MAP kinase (detected using an antibody specific to activated Erks) in a dose-dependent manner. Significant tyrosine phosphorylation and MAP kinase
5 activation, and JNK1 band shift were observed in cells treated with 10 ng/ml of LPS; the JNK1 band shift occurred at 100 ng/ml. Similar patterns of tyrosine phosphorylation, JNK1 band shift, and MAP kinase activation were observed in monocytes incubated with JBT3002 (Figure 18). Consistent with the induction of cytokine production, JBT3002 was significantly more potent than LPS in triggering these intracellular signaling
10 pathways (Figure 18). JNK1 kinase activity assessed using GST-c-Jun as substrate showed that JNK1 band shift induced by LPS and JBT3002 correlated with activation of the kinase (data not shown).

Induction of tyrosine phosphorylation, JNK1 band shift, and MAP kinase activation by LPS required the presence of serum (Figure 19, lane 2 [serum-free] vs lane
15 5 [5% FBS]), whereas the same responses in monocytes stimulated by JBT3002 did not (Figure 19, lane 3 vs 6).

Discussion

The purpose of this example was to investigate whether JBT3002, a new synthetic
20 analogue of a lipoprotein from the outer wall of gram-negative bacteria could activate production of inflammatory cytokines and tumoricidal properties of human blood monocytes. Previous studies indicated that MLV composed of PC:PS (molar ratio 7:3) are preferentially recognized by monocytes/macrophages and that immune modulators encapsulated in these phospholipid liposomes are significantly more potent in the in vivo
25 activation of monocytes/macrophages than immunomodulators administered alone (Fidler, I.J. *Adv. Drug Del. Rev.* (1988) 2:69). These present results demonstrate that tumoricidal properties and expression of the inflammatory cytokines TNF- α , IL-1 β , and

- 47 -

IL-6 were activated in monocytes by MLV-JBT3002 in a dose-dependent manner. This activation was augmented by the presence of recombinant human IFN- γ . Moreover, JBT3002 was more potent than LPS in the activation of monocytes.

To determine whether phagocytosis of MLV-JBT3002 is necessary for its action,
5 dose-dependent response and kinetics of TNF- α induced by MLV-JBT3002 and free-form JBT3002 were studied. These data show that free-form JBT3002 was even more potent than MLV-JBT3002 in the induction of cytokine gene expression. In addition, activation of monocytes by MLV-JBT3002 and free-form JBT3002 followed the same kinetics. TNF- α protein was found in culture supernatants after a 2-h stimulation and
10 reached a plateau 4-8 h later. Since maximal internalization of liposome requires 8-16 h, these data suggest that activation of monocytes may not require phagocytosis of MLV-JBT3002 and might be induced by interaction of the monocytes with micellar JBT3002. The use of JBT3002 in vivo may be greatly enhanced by its encapsulation in phospholipid liposomes.

15 Previous studies from our laboratory and others concluded that protein tyrosine phosphorylation is one of the early events in activation of monocytes/macrophages by a variety of immune modulators (Manthey, C.L. *et al. J. Immunol.* (1992) 149:2459) and that protein tyrosine kinase activity is required for activation of monocytes/macrophages for tumoricidal activity and cytokine gene expression (Meisel, C. *et al. Eur. J. Immunol.*
20 (1996) 26:1580). Moreover, activation of monocytes/macrophages by LPS and other immune modulators are associated with activation of multiple proline-directed kinases (Sanghera, J.S. *et al. J. Immunol.* (1996) 156:4457; Han, J. *et al. Science* (1994) 265:808; Hambleton, J. *et al. J. Exp. Med.* (1995) 182:147). These data are consistent with previous findings in monocytes treated by LPS and further demonstrate that similar
25 patterns of intracellular signaling are triggered in cells treated with JBT3002. Specifically, JBT3002 treatment induced tyrosine phosphorylation of proteins with apparent molecule mass of 42 kDa and 38 kDa, caused JNK1 band shift, and induced

- 48 -

MAP kinase activation. Additional data show that the 42-kDa and 38-kDa proteins correspond to activated erk and activated p38 MAP kinase, respectively (data not shown).

Activation of monocytes/macrophages by LPS can be significantly facilitated by LBP, a glycoprotein present in the serum (Wright, S.D. *et al. Science* (1990) 249:1431).

5 LPS binds to LBP and the complex in turn interacts with the LPS receptor CD14, a glycosylphosphatidylinositol-anchored membrane glycoprotein, and triggers many intracellular signaling pathways, such as tyrosine phosphorylation, include stimulation of JNK1 (Hambleton, J. *et al. Proc. Natl. Acad. Sci. USA* (1996) 93:2774), p38 kinase and MAP kinases (Liu, M. K. *et al. J. Immunol.* (1994) 153:2642), and translocation of
10 NF- κ B (Bellezzo, J.M. *et al. Am. J. Physiol.* (1996) 270:G956). Moreover, the interaction of the complex with CD14 appears necessary for inducing the expression of a variety of cytokines and inducible nitric oxide synthase by LPS (Gallay, P. *et al. J. Immunol.* (1993) 150:5086; Sweet, M. J. *et al. J. Leukoc. Biol.* (1996) 60:8; Stefanova, I. *et al. J. Biol. Chem.* (1993) 268:20725). Similar results were observed in this study when
15 monocytes were activated by LPS. In sharp contrast, activation of monocytes by JBT3002 appeared not to require LBP or other serum protein. This conclusion is supported by the following findings: (1) induction of TNF- α mRNA expression was increased in the absence of serum; (2) production of TNF- α , IL-1 β , and IL-6 induced by JBT3002 was not significantly altered in the absence of serum; and (3) the induction of
20 tyrosine phosphorylation of p42 and p38, band shift of JNK1, and activation of MAP kinases by JBT3002 were not affected by depletion of serum from the culture. Moreover, although CD14-specific monoclonal antibody partially blocked LPS-induced TNF- α production, it did not affect the production stimulated by JBT3002, suggested that the activation of monocytes by JBT3002 was mediated by a receptor unrelated to CD14.

25 In summary, the new synthetic lipopeptide JBT3002 is a potent activator of tumoricidal properties in human blood monocytes as well as an inducer of cytokine production. JBT3002 triggers several intracellular signaling pathways similar to those

- 49 -

stimulated by LPS, but it is independent of LPS binding protein and of CD14 on the monocyte surface.

Example 4

5 Evaluation of oral administration of MTP-PE and its tissue-sparing properties in combination use with irinotecan.

Irinotecan, a topoisomerase I inhibitor (Camptosar™, CPT-11), is in clinical use for unresectable colon carcinoma and hepatic metastases of this cancer. Side effects include severe myelosuppression and GI tract epithelial toxicity. In the mouse, CT-26P
10 human colon carcinoma injected into the spleen results in rapid growth of liver metastasis in about 3-4 weeks. CPT-11 (ranging from 25-100 mg/kg) causes a dose-dependent reduction in tumor burden of the liver, but rarely any complete eradication of disease. We have observed in our murine model that 100 mg/kg CPT-11 induces loss of structural integrity of duodenal and large colon crypts, including disintegration of villi structure,
15 loss of lamina propria definition and leukocytes and vacuole-filled loss of cytoplasmic structure of epithelia cells of the villi lining. Oral administration of MTP-PE (100 µg/dose) for three consecutive days per week during the two-week regimen of CPT-11 administration (either 4 consecutive ip injections or one injection per week for 4 weeks) to C57BL/6 mice prevents this damage to intestinal tissue. We confirmed this
20 observation for the use of DXR. This protective effect appears to be mediated through cytokine stimulation.

Combination tumoricidal activation of macrophages by oral administration of MTP-PE in combination chemotherapy with CPT-11 appears useful in murine colon carcinoma.

25

- 50 -

Example 5

Restoration of Mucosal Integrity: Establishment of Tissue Damage

The purpose of this example is to identify the dose of CPT-11 that causes a
5 defined (and perhaps quantifiable) amount of mucosal damage to intestinal tissue. These
findings help define baseline parameters for evaluation of restorative agents to be used
with toxic therapies. All studies use C57BL/6 mice.

This protocol used to assess tissue damage after intraperitoneal injection of this
drug follows that of Ikuno, N. *et. al.* (JNCI 87:1876-1883, 1995). The small intestine of
10 each mouse was harvested 4 days after the last injection, according to the following
treatment groups:

- A. 50 mg/kg once a day for 4 days (i.p.).
- B. 75 mg/kg once a day for 4 days (i.p.).
- C. 100 mg/kg once a day for 4 days (i.p.).

15 All harvests of the small intestine were washed in PBS and fix in 10% buffered
formalin. Send for routine histology (keep all tissue blocks).

Results: None of the injected mice died. However, mice that received 75 mg or
100 mg treatments displayed clinical signs of toxicity.

20 Example 6

Dose-Response Toxicity of CPT-11:

Example 5 has shown the protective effect of oral administration of free-form
MTP-PE on the subsequent GI tract toxicity of interperitoneal (i.p.) administration of
CPT-11 using doses of either 50, 75, or 100 mg/kg. The lethal toxicity of C57BL6 mice
25 to this drug was determined using the following treatment regimens.

- Group I. 100 mg/kg CPT-11, i.p., Day 1, Day 2, Day 3, and Day 4.
- Group II. 150 mg/kg CPT-11, i.p., Day 1, Day 2, Day 3, and Day 4.

- 51 -

Group III. 200 mg/kg CPT-11, i.p., Day 1, Day 2, Day 3, and Day 4.

Mice were monitored twice a day, with necropsy conducted on moribund mice for small intestine and colon tissue.

Results:	Survival:	5/5	100 mg/kg
		3/5	150 mg/kg
		0/5	200 mg/kg

These data support the 200 mg/kg as the lethal dose.

Example 7

10 Prevention of CPT-11 Induced Intestinal Damage by Oral Administration of Free-form MTP-PE

Previous studies have shown that oral administration of free-form MTP-PE (100 µg/dose) given prior to or after chemotherapy with doxorubicin can prevent monocytopenia and loss of mucosal integrity normally observed after treatment with doxorubicin (Oncol. Res. 6:357, 1994). CPT-11 is a topoisomerase inhibitor that induces potent intestinal dysfunction as manifested by loss of structural integrity of intestinal tissue and subsequent loss of mucosal function. Herein, the data show that these effects may be prevented by treatment of mice with MTP-PE before or after administration of CPT-11.

20 Experimental Design: This study follows our previous experiments in which mice were treated for 3 weeks prior to the administration of doxorubicin (however, it should be noted that the reported effects of CPT-11 are acute, within 4-5 days after multiple injections of CPT-11 mice became sick). Therefor, then endpoints of tissue harvest may not yield optimal evaluation of this form of restorative therapy. This pilot study
25 determines the parameters that may be routinely adjusted in follow-up experiments.

One part of the study evaluated the toxic effect of CPT-11 after pretreatment of the mice for 3 weeks with oral administration of 100 µg/dose of MTP-PE. The mice were

- 52 -

treated 3x/week (MTW) for 3 weeks, then CPT-11 was given i.p. as indicated (3 doses) for 4 consecutive days and tissues harvested 3 days later.

Results: See Figure 20. Mice receiving oral PBS followed by CPT-11 had severe damage to the intestinal villi and lumen. Mice receiving oral MTP-PE prior to 4 i.p. injections of CPT-11 had no histological (or clinical) evidence of GI toxicity.

Example 8

Determination of Bioactivity of Oral Administration of JBT3002 to Prevent CPT-11 Induced Intestinal Tissue Damage

This study was designed to measure potential use of JBT3002 as an immunomodulator that can prevent the GI toxicity observed in mice following administration of CPT-11. Mice were given oral administration of different doses of JBT3002 in PC liposomes for 2 weeks (3 consecutive days) prior to i.p. injection of CPT-11 (for 4 consecutive days).

Experimental Design and Methods. Forty (40) C57BL/6 mice (10 mice/group) were fed the PC-JBT3002 liposomes (5 μ Mol per feeding, 0.2 ml HBSS) for 2 weeks on Day 1, Day 2, and Day 3. After the second set of feedings, the mice were given i.p. injections of CPT-11 (100 mg/kg, 0.2 ml) on Day 1, Day 2, Day 3, and Day 4. Tissue was harvested 7 days after the last injection (small intestine and colon distal to the cecum). Histology was prepared. Some mice were monitored for the presence of drug toxicity.

Results: See Figure 21. Control mice received oral saline (A). Mice received oral JBT3002: 0.1 μ g/dose (B); 1.0 μ g/dose (C), or 10 μ g/dose (D). Note that CPT-11 induced severe toxicity in mice pretreated with saline (A), whereas in mice receiving oral JBT3002 0.1 μ g/dose, 1.0 μ g/dose, and 10 μ g/dose, the intestines were normal (groups B, C, D, respectively).

- 53 -

Example 9

Determine Minimum Weekly Treatment Schedule

A preliminary study has shown the protective effect of oral administration of free-form MTP-PE on the subsequent GI tract toxicity of i.p. administration of CPT-11 using
5 doses of either 50, 75, or 100 mg/kg. This pilot study used a 3-week prior therapy schedule with 3 feedings, the ongoing study of GI and animal toxicity (CPT-11). The mice were then given i.p. injections of the CPT-11 at 100 mg/kg for 4 consecutive days and tissue harvest to take place 3 and 10 days following the last per week of free-form MTP-PE.

10 Experimental Design. Groups of 10 C57BL/6 mice received oral feedings of 100 ug/dose of free-form MTP-PE for either one, two, or three consecutive weeks prior to injection with CPT-11 at a dose to be determined by drug injection.

Results: Three oral administrations of MTP-PE (1 week) were sufficient to prevent GI toxicity by CPT-11 (even at 100 mg/kg).

15

Example 10

Determine Ability to Prevent Morbidity.

Example 5 has shown the protective effect of oral administration of free-form MTP-PE on the subsequent GI tract of i.p. administration of CPT-11 using doses of either
20 50, 75, or 100 mg/kg. Example 6 has shown that 100, 150, and 200 mg/kg at 4 consecutive i.p. administrations are highly toxic to C57BL/6 mice. This Example demonstrates the protection of mice against the toxicity of CPT-11 by pretreatment of the mice with 2 consecutive weeks of 100 ug/dose MTP-PE prior to administration of the drug. See Table 6.

25

- 54 -

Table 6				
<u>Experimental Design:</u> Groups of 10 C57BL/6 mice will receive oral feedings of 100 ug/dose of free-form MTP-PE for one week prior to 4 daily i.p. injections with CPT-11 at 100, 150, or 200 mg/kg				
<u>Results:</u>				
	<u>Oral therapy</u>	<u>CPT-11</u>	<u>Death</u>	<u>Morbidity</u>
	Saline	100 mg/kg	0/10	8/10
	Saline	150 mg/kg	6/10	10/10
	Saline	200 mg/kg	9/10	10/10
	MTP-PE	100 mg/kg	0/10	0/0
	MTP-PE	150 mg/kg	2/10	4/10
	MTP-PE	200 mg/kg	6/10	8/10

Example 11

JBT3002 Series: Combination Therapy of CT-26P Murine Colon Carcinoma in

5 Balb/c Mice with CPT-11 & Oral Administration of JBT3002 Encapsulated into Liposomes

Purpose: This Example demonstrates the ability of different doses of CPT-11 to inhibit the growth of CT-26P colon carcinoma in the liver of mice and whether the therapeutic efficacy of this drug can be enhanced by the oral administration of the
10 macrophage activator, JBT3002.

Experimental design: Mice are given an intrasplenic injection of 15,000 cultured CT-26P cells on day 0 and then receive either no further therapy, 3 oral feedings of 1 µg JBT3002 in PC liposomes per week, one ip injection of CPT-11 per week, or the combination of the CPT-11 plus oral administration of JBT3002. This course of therapy
15 was repeated weekly for about three weeks prior to tissue harvest. See Table 7.

Experimental Groups/Procedures: 40 Balb/c mice were divided into 8 groups of 5 mice each. On day 0, the spleens of the mice were injected with 15,000 cultured CT-26P cells. The groups were then treated as follows:

- 55 -

		Days of the Week (for three weeks)													
		T	W	R	F	M	W	R	F	M	W	R	F	M	
5	I. No therapy	TC	-	-	-	-	-	-	-	-	-	-	-	-	
	II. CPT-11 (25 mg/kg)		-	-	-	C	-	-	-	C	-	-	-	C	
	III. CPT-11 (50 mg/kg)		-	-	-	C	-	-	-	C	-	-	-	C	
	IV. CPT-11 (100 mg/kg)		-	-	-	C	-	-	-	C	-	-	-	C	
	V. JBT3002 (1 mg/dose)		X	X	X	-	X	X	X	-	X	X	X	-	
10	VI. CPT-11 (25) + JBT3002			X	X	X	C	X	X	X	C	X	X	X	C
	VII. CPT-11 (50) + JBT3002			X	X	X	C	X	X	X	C	X	X	X	C
	VIII. CPT-11 (100) + JBT3002			X	X	X	C	X	X	X	C	X	X	X	C

- Following the third ip injection of CPT-11, the mice were closely monitored for symptoms of extensive growth of tumor in the liver. On the day of harvest, the following
- 15 tissues were prepared:
1. Weigh spleens (see Table 8)
 2. Weigh livers (see Table 9)
 3. The "grade" of liver tumor (0-no tumor; I-<5 small mets; II-5-20 mets, III=>20 mets) was determined.
 - 20 4. The small intestine and large colon were harvested for histology (H&E). The tissue were placed on "end" orientation in order to visualize the villi of the intestine.

The spleens of Balb/c mice were injected with 15,000 cultured CT-26P colon carcinoma cells on day 0. Mice received no further treatment (controls) or treatment with

25 CPT-11 (at 25, 50 or 100 mg/kg) once a week starting on day 7, or oral administration of JBT3002 beginning on day 1 (1 µg/dose) and continuing three times per week. Therapy was discontinued on day 17 due to the health of the control mice. Treated mice received two weeks of chemotherapy and three weeks of the macrophage activator. Tissues were harvested on day 17. The spleens and livers were weighed, the extent of metastasis was

30 graded (denoted below) and histology prepared.

- 56 -

Table 8				
Therapy of CT-26P Murine Colon Carcinoma in Syngeneic Balb/c Mice with Combination Chemotherapy and Oral Administration of Liposome-encapsulated JBT3002				
Spleen Weights (grams)				
Control	CPT-11 (25)	CPT-11 (50)	CPT-11 (100)	JBT3002
1.301	1.403	0.419	0.252	0.148
1.385	1.486	0.151	0.120	1.048
1.154	0.888	0.459	0.171	1.412
1.399	1.056	0.894	0.122	0.849
0.889	1.588	1.750	0.414	1.227
1.225 ± 0.189	1.284 ± 0.257	0.746 ± 0.561*	0.216 ± 0.110*	1.137 ± 0.628

CPT-11 (25) + JBT3002	CPT-11 (50) + JBT3002	CPT-11 (100) + JBT3002
0.158	0.918	0.216
1.183	0.755	0.380
0.396	0.508	0.237
1.369	0.563	0.609
0.512	0.491	0.125
	0.302	
0.723 ± 0.468**	0.589 ± 0.198*	0.313 ± 0.169*

* significant reduction in spleen tumor burden compared to control, p<0.05

**significant reduction in spleen tumor burden compared to both control and the use of CPT-11 (25 mg/kg) only, p<0.05

5

- 57 -

Table 9				
Therapy of CT-26P Murine Colon Carcinoma in Syngeneic Balb/c Mice with Combination Chemotherapy and Oral Administration of Liposome-encapsulated JBT3002				
Liver Weights, grams (tumor grade)				
Control	CPT-11 (25)	CPT-11 (50)	CPT-11 (100)	JBT3002
1.311 (1)	2.246 (2)	1.453 (0)	1.196 (1)	1.126 (1)
1.522 (1)	1.416 (1)	1.407 (1)	1.105 (0)	1.901 (3)
1.465 (2)	1.680 (2)	1.205 (2)	1.252 (1)	2.421 (3)
1.876 (3)	1.460 (2)	1.636 (0)	1.172 (0)	1.443 (1)
1.520 (1)	1.549 (0)	1.525 (2)	1.293 (1)	2.230 (1)
1.539 ± 0.185	1.670 ± 0.301	1.445 ± 0.142	1.203 ± 0.065	1.842 ± 0.498

CPT-11 (25) + JBT3002	CPT-11 (50) + JBT3002	CPT-11 (100) + JBT3002
1.071 (0)	1.649 (1)	1.219 (0)
1.476 (0)	1.528 (1)*	1.336 (0)
1.399 (0)	1.262 (0)	1.347 (1)**
1.222 (1)	1.387 (1)	1.205 (0)
1.336 (0)	1.346 (1)	0.916 (0)
	1.088 (1)	
1.301 ± 0.142	1.377 ± 0.180	1.204 ± 0.155

* 1 tumor nodule

**2 tumor nodules

Grade: 0=no visible tumor; <5 mets; 2=5-20 mets; 3=>20 mets

5

Example 12Therapy of Cancer Metastasis by CPT-11 and Oral Administration of JBT3002

JBT3002 was formulated without encapsulation into liposomes. The JBT3002 compound was added to Hank's balanced salt solution (HBSS) at 1-3 mg/ml and sonicated at ice temperature for 20 min (80 kilocycles, 80 watts). This results in a slightly opaque solution that, when diluted further, immediately is soluble in HBSS. This stock solution is stored at 4 C (made fresh every 4 weeks) and is diluted into HBSS for oral feedings (e.g., 0.25 µg/ml) which is a clear solution.

BALB/c mice were injected into the spleen with viable syngeneic CT-26 colon carcinoma cells. Groups of mice were treated or gauged with different doses of JBT3002 once daily for 3 consecutive days. Two days after the third oral gauge with JBT3002, the

- 58 -

mice were injected i.p. with 100 mg/kg CPT-11. In one series of studies, the mice received CPT-11 once/day for 4 consecutive days (intensive regimen). In a second series of studies, the mice received injections of CPT-11 once/week for 3 consecutive weeks (chronic regimens). JBT3002 was always administered for 3 consecutive days prior to CPT-11. The mice were killed at different time points after the last cycle of therapy. Spleen tumor and liver metastases (experimental) were quantified.

The results are presented in tabular form in Tables 10-16.

Table 10. This study compared the efficacy of liposome-encapsulated JBT 3002 and JBT 3002 (sonicated drug). The data show the effectiveness of sonicated free-form JBT 3002.

Table 11. The purpose of this study was to determine a dose dependence for efficacy of JBT 3002 (free-form). Note that 0.01 $\mu\text{g}/\text{dose}$ of JBT 3002 (sonicated) produced similar therapeutic effects as 1.0 $\mu\text{g}/\text{dose}$ of MLV-JBT 3002. The data show the effectiveness of sonicated free-form JBT 3002.

Table 12. In this study, CPT-11 was administered under intensive schedule. Again, free-form JBT 3002 was more potent than liposomal formulation of JBT 3002.

Table 13. Dose response of sonicated (free-form) JBT 3002 showing that optimal dose is 0.01-0.001 $\mu\text{g}/\text{dose}$.

Table 14. The data confirm that free-form JBT 3002 is more potent than liposomal formulation.

Table 15. CPT-11 alone inhibited liver metastases, but 7 of 10 mice died during therapy. JBT 3002 produced a reduction in liver metastases. The combination of oral JBT 3002 (free form) and intensive CPT-11 (i.p.) produced significant therapy of liver metastases in all mice without any side effects.

Table 16. The clinical course of therapy (CPT-11 once weekly) combined with oral JBT 3002 (0.05 $\mu\text{g}/\text{dose}$) produced significant inhibition of liver metastasis.

- 59 -

Example 13

Preparation of tablet to free-form JBT3002

JBT 3002 was formulated in tablets (100 µg/tablet): croscarmellose sodium, NF (8.0 gm); lactose anhydrous, NF (299.9 gm); microcryst. Cellulose, type pH-102, NF (80.0 gm), silicon dioxide, colloidal, NF (8.0 gm), magnesium stearate, NF (4.0 gm), JBG 3002 (0.10 gm), distilled water (30.0 gm).

Preparation of tablet to free-form in water. Tablet placed in 10 ml sterile, pyrogen-free water for 2-3 min with shaking at room temperature, then 10 ml more water added using Lot PC002.115. At 100 ug/tablet, this gave 5 ug/ml active material.

10 Solution opaque with "carrier"-appearing material. Settled to bottom. Placed at 37°C for about 5 min. pH tested at 8.0. Two aliquots of 10 ml taken. One aliquot was taken to pH 1.5 by a single drop of concentrated HCl. Both samples were placed in a water bath at 37°C for 30 min. The low pH sample was restored to pH 7.7 with NaOH (took about 5 drops of the NaOH, 1N solution). The samples were brought to 50 ml by addition of

15 water, and the final pH was 8.0 for the original and 7.43 for the low pH sample. The solutions were still slightly opaque and filtering with a 0.2 micron filter cleared the solution. Aliquots of about 25 ml were measured in an assay for NO production by macrophages and testing of the working dilution for endotoxin.

Table 17. The data show that JBT 3002 in tablets is biologically active and is

20 resistant to pH 1.5 (30 min).

Table 18. The data confirm that JBT 3002 in tablets is biologically active and suffers no loss of potency.

Table 19 (A, B, C). Therapy of human pancreatic carcinoma liver metastasis. The pancreas of nude mice were injected with 1×10^6 viable human pancreatic cancer

25 L3.6pl cells. JBT 3002 (tablets) were given by gauge (0.05 µg/dose) for 3 consecutive days followed by i.p. injection of CPT-11 (100 mg/kg). This regimen was repeated on a weekly basis for 3 weeks. The mice were killed. Pancreatic tumors, liver metastases, and

- 60 -

lymph node metastasis were quantified. The data in Table 19 show that oral JBT 3002 plus CPT-11 resulted in effective therapy: This combination resulted in the inhibition of both liver metastases and lymph node metastases.

Table 20, Table 21. Ongoing studies to evaluate the therapeutic efficacy of JBT 3002 tablets plus CPT-11 against human colon cancer (Table 20) and mouse colon cancer (Table 21) liver metastasis.

Example 14

Protection from CPT-11-Induced Intestinal Toxicity by Oral Administration of JBT 3002: Induction of IL-15 in the Lamina Propria of the Intestine

Background.

The Interleukin-15 (IL-15) binds to the common γ c and the IL-2 receptor β subunit for signal transduction (Grabstein, K.H., *et al.*, *Science* (1994) 264:965-968; Carson, W.E., *et al.*, *J Exp Med* (1994) 180:1395-1403; Giri, J.G., *et al.*, *EMBO J* (1994) 13:2822-2830). IL-15 shares many of the biological activities of IL-2, including: generation of CTL and LAK cells (Grabstein, K.H., *supra.*); activation of NK cells to produce IFN- γ , TNF- α , and GM-CSF (Carson, W.E., *supra.*); B cell proliferation and differentiation (Armitage, R.J., *et al.*, *J Immunol* (1995) 154:483-490).

IL-15 is expressed in a variety of tissues, including: placenta; skeletal muscle; kidney; liver; IFN- γ /LPS-activated macrophages (Doherty, T.M., *et al.*, *J Immunol* (1996) 156:735-741), but not activated T cells (Grabstein, K.H., *supra.*).

The expression of IL-15 (Reinecker, H.C., *et al.*, *Gastroenterology* (1996) 111:1706-1713) has been shown from isolated rat intestinal epithelial cells, which constitutively express IL-15. IEC-6 cells express IL-15, as well as isolated human intestinal epithelial cells; Lamina propria mononuclear cells; several human intestinal epithelial tumor-derived cells lines, including Caco-2 and HT29. IL-15 mRNA expression in Caco-2 cells has been shown to be upregulated by IFN- γ .

- 61 -

Further, intestinal epithelial cell lines and primary intestinal epithelial cells express "intermediate affinity receptors" for IL-2, which is composed of the common γ c and the IL-2 receptor β subunit (Reinecker, H.C., *et al.*, *Proc Natl Acad Sci USA* (1995) 92:8353-8357; Ciacci, C., *et al.*, *J Clin Invest* (1993) 92:527-532). Upon incubation with
5 recombinant IL-15 (rIL-15), it has been observed that there is a stimulation of protein tyrosine phosphorylation in Caco-2 cells (Reinecker, H.C., *supra*, 1996). Also, rIL-15 can stimulate the proliferation of Caco-2 cells as determined by [3 H]thymidine uptake (Reinecker, H.C., *supra*, 1996).

Administration (i.p.) of rIL-15 has demonstrated some protection against
10 chemotherapy-induced intestinal toxicity in a rat model: 5-FU (Cao, S., *et al.*, *Cancer Res* (1998) 58:1695-1699) and irinotecan (Cao, S., *et al.*, *Cancer Res* (1998) 58:3270-3274).

INTRODUCTION

Recent data published by Cao *et al.* (*supra*) show that multiple injections of IL-15
15 can protect against toxicity mediated by 5-FU or irinotecan in a preclinical animal model. Whether the mechanism by which oral administration of JBT 3002 protected against toxicity mediated by CPT-11 was via upregulation of IL-15 in the intestines was evaluated.

20 RESULTS

BALB/c mice were injected with CT-26 colon cancer cells into the spleen. Treatment with CPT-11 alone (100 mg/kg), JBT 3002 alone (0.05 μ g/dose), or JBT 3002 (0.05 μ g/dose) followed by CPT-11 (100 mg/kg) was carried out as described previously. Four days after the last injection of CPT-11, some mice were killed and their ileum was
25 harvested and prepared for histology, immunohistochemistry, and molecular biology analyses.

- 62 -

The data shown in Figure 22 (ileum) demonstrate the following: administration of CPT-11 alone produces disruption of the intestinal architecture (H&E). Treatment with JBT 3002 and CPT-11 prevents this pathology. These data confirm our earlier results. Immunohistochemistry for BrdUrd (cell division) shows that in mice given JBT
5 3002 plus CPT-11, there is an increased number of dividing epithelial cells (BrdUrd).

Immunostaining for IL-15 shows that the lamina propria of ileum from mice receiving JBT 3002 (oral) and CPT-11 (i.p.) has high expression for IL-15. To determine whether macrophages or epithelial cells respond to JBT 3002 by upregulating IL-15, the RT-PCR technique was used and the results are shown in Figure 23.

10 CPT-11 produces a significant decrease in expression of IL-15 in the ileum. JBT 3002 restores or augments this expression.

Peritoneal exudate macrophages (PEM), but not intestinal cells (IEC6), upregulate IL-15 expression in response to JBT 3002.

CT-26 tumor lesions in the liver of BALB/c mice was also studied. The number
15 of macrophages (Scav-R-positive) producing nitric oxide (iNOS) and IL-15 is clearly increased in regressing metastases of mice treated with both JBT 3002 and CPT-11.

CONCLUSIONS

The oral administration of JBT 3002 upregulates expression of IL-15 in intestinal
20 macrophages. The production of endogenous IL-15 protects the intestine against toxicity mediated by CPT-11.

Each of these publications is hereby incorporated herein by reference. Said publications relate to the art to which this invention pertains. The references cited above
25 are each incorporated by reference herein, whether specifically incorporated or not.